

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

865.4345 DI

First Named Inventor or Application Identifier

AKIHISA HORIUCHI

Express Mail Label No.

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification *Total Pages* **48**
4. ☒ Drawing(s) (35 USC 113) *Total Sheets* **13**
5. ☒ Oath or Declaration *Total Pages* **1**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 6 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (*Appendix*)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS  
Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/263,230 filed March 5, 1999  
Prior application information: Examiner T. Thompson Group/Art Unit: 2873

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **05514** (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	20-20 =	0	X \$ 18.00 =	\$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3 =	0	X \$ 80.00 =	\$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Total of above Calculations =				\$ 710.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$ 710.00

19. Small entity status

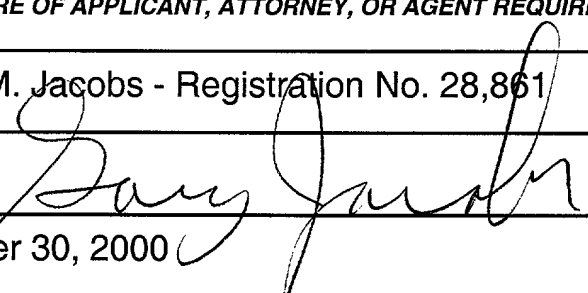
- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 710.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Gary M. Jacobs - Registration No. 28,861
SIGNATURE	
DATE	October 30, 2000

GMJ/tnt

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Citizenship Country: Japan

CORRESPONDENCE INFORMATION

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APPLICATION INFORMATION

Title Line One: ZOOM LENS AND OPTICAL APPARATUS USING THE

Title Line Two: SAME

Total Drawing Sheets: 13

Formal Drawings?: Yes

Application Type: Utility

Docket Number: 865.4345 DI

Secrecy Order in Parent Appl.?: No

055145 103000

## REPRESENTATIVE INFORMATION

Representative Customer Number: 5514

## PRIOR FOREIGN APPLICATIONS

Foreign Application One: 10-058034

Filing Date: 03/10/1998

Country: Japan

Priority Claimed: Yes

Foreign Application Two: 10-129524

Filing Date: 04/23/1998

Country: Japan

Priority Claimed: Yes

865.4345 DI

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
AKIHISA HORIUCHI ) Examiner: Unassigned  
Appln. No.: Unassigned (Divisional of )  
Appln. No. 09/263,230 filed )  
March 5, 1999) Group Art Unit: Unassigned  
Filed: October 30, 2000 )  
For: ZOOM LENS AND OPTICAL ) October 30, 2000  
APPARATUS USING THE SAME :

Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please amend the above-identified application as follows:

IN THE SPECIFICATION:

Please amend the specification as follows:

PAGE 2

Before Line 1, insert --This application is a division of Application No. 09/263,230 filed March 5, 1999.--

Line 11, change "the" (both occurrences) to --a--.

Line 12, before "video" insert --a--.

PAGE 2

Line 18, change “is saved” to --can be--.

PAGE 3

Line 3, before “variation” insert --a--.

Line 23, change “giving a cause of” to --causing the--.

PAGE 6

Lines 16 and 19, before “fixed” insert --being--.

PAGE 7

Lines 1 and 4, before “fixed” insert --being--.

PAGE 8

Lines 2 and 17, change “, zooming” to --. Zooming--.

Lines 3 and 18, change “being” to --is--.

PAGE 9

Lines 13 and 24, change “focal length” to --focal-length--.

PAGE 10

Line 8, change “focal length” to --focal-length--.

PAGE 11

Lines 13 and 20, change “in” to --at--.

Lines 14 and 21, change “an” to --at the--.

Line 15 and 22, before “the” insert --at--.

PAGE 12

Line 1, change “in” to --at--; and “an” to --at the--.

Line 2, after “and” insert --at--.

Line 21, before “variation” insert --a--.

PAGE 14

Line 28, delete “much”.

PAGE 15

Line 16, delete “the”.

Lines 19 and 20, change “in suffering” to --under--.

Line 22, delete “the” (first occurrence).

Line 24, change “an” to --the--.

Line 26, change “in” to --with--.

PAGE 16

Line 9, change “for” to --at--.

Line 15, change “limitation” to --limiting--.

Line 28, change “is to form” to --forms--.

PAGE 17

Line 4, change “keep hold of” to --maintain--.

Line 17, change “in” to --at--.

PAGE 18

Line 25, change “In the” to --Under--.

PAGE 19

Lines 9 and 10, change “comes to deteriorate” to --deteriorates--.

PAGE 20

Line 26, change “of” (first occurrence) to --on-- and “on variation of” to --to vary--.

PAGE 21

Line 4, change “of” to --on--.

Lines 4 and 5, change “on variation of” to --to vary--.

Line 6, change “in” to --with--.

Line 7, change “the” to --a--.

Line 9, change “in” to --at--.

PAGE 28

Lines 7, 9, and 11, change “in” to --at--.

PAGE 30

Line 26, change “to” to --with--.

PAGE 31

Line 17, change “in” to --at--.

PAGE 33

Line 2, change “In the” to --Under--.

Lines 16 and 17, change “comes to deteriorate” to --deteriorates--.



PAGE 34

Line 15, change "in" to --at--.

PAGE 40

Line 7, delete "to realize".

Line 18, after "12" insert --,-- and change "electric" to --electrical--.

IN THE CLAIMS:

Please cancel Claims 21 and 22 without prejudice or disclaimer of the subject matter recited therein.

REMARKS

This is a divisional application of Application No. 09/263,230 filed March 5, 1999 (the "'230 Application").

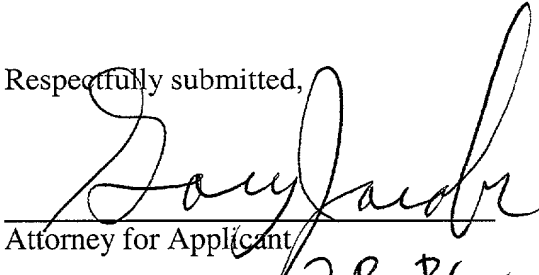
Claims 1-20 are pending, with Claims 1 and 12 being independent. Applicant intends to file new claims in a second Preliminary Amendment. If the Examiner picks up the case before receiving these new claims, Applicant requests that the Examiner telephone the undersigned to obtain them.

The specification has been amended to include changes made in the '230 Application.

Applicant claims priority under 35 U.S.C. § 119 based upon Japanese Priority Application Nos. 10-058034 filed March 10, 1998, and 10-129524 filed April 23, 1998, and respectfully requests acknowledgment of this claim and of receipt of the certified copies of the priority documents, which were filed April 28, 2000, in the '230 Application.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

  
Attorney for Applicant

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TITLE OF THE INVENTION

ZOOM LENS AND OPTICAL APPARATUS USING THE SAME

## BACKGROUND OF THE INVENTION

### Field of the Invention

The present invention relates to a zoom lens and an optical apparatus using the same and, more particularly, to a zoom lens utilizing the rear-focus method and having a high range with a relatively small number of constituent lenses, suited to be used in video cameras, film cameras and broadcasting cameras, and an optical apparatus using the same.

### Description of Related Art

For the zoom lens to be used in the photographic camera, video camera or like optical apparatus, the use of a lens unit other than the front or first lens unit in focusing, or the so-called "rear-focus" method, has been previously proposed in many examples. This is because the rear-focus method admits a lens unit of relatively small size and light weight to move during focusing. Therefore, the driving torque is saved weak and, nonetheless, fast focus adjustment can be effected, so that the rear-focus method has an advantage for good adaptation to the auto-focus system.

Such a rear-focus type of zoom lens is disclosed in, for example, Japanese laid-Open Patent Application No. Sho 63-44614, which comprises, in order from an object side, a first lens unit of positive refractive power, a second lens unit of negative refractive power axially movable for varying the focal length, a third

lens unit of negative refractive power axially movable for compensating for the shift of the image plane with variation of the focal length and a fourth lens unit of positive refractive power, totaling four lens units. In this so-called "4-unit" zoom lens, the third lens unit is made movable to effect focusing. However, such an arrangement must assure creation of a large space in which to move the third lens unit. So, the total length of the entire lens system tends to increase greatly.

Japanese Laid-Open Patent Application No.

Sho 63-278013, too, discloses a 4-unit zoom lens comprising a first lens unit of positive refractive power, a second lens unit of negative refractive power, a third lens unit of negative refractive power and a fourth lens unit of positive refractive power, wherein zooming is performed by the second lens unit and compensation for the image shift and focusing are performed by the fourth lens unit. In the zoom lens configuration that has made the third lens unit negative in refractive power, however, because the diverging rays of light from the second lens unit are further diverged by the third lens unit, the diameter of the fourth lens unit becomes larger, giving a cause of increasing the bulk and size of the entire lens system. Moreover, the range of variation of aberrations due to focusing tends to ever more widen.

On the other hand, Japanese Laid-Open Patent Applications No. Sho 62-24213 (corresponding to U.S. Patent No. 4,859,042) and No. Sho 63-247316 propose a

zoom lens comprising, in order from an object side, a first lens unit of positive refractive power, a second lens unit of negative refractive power, a third lens unit of positive refractive power and a fourth lens unit of positive refractive power, totaling four lens units, wherein the second lens unit moves to effect zooming and the fourth lens unit moves to compensate for the image shift with zooming and to effect focusing. Such an arrangement assures minimization of the bulk and size of the entire lens system.

Japanese Laid-Open Patent Application No.

Sho 63-29718 discloses a zoom lens comprising, in order from an object side, a first lens unit of positive refractive power, a second lens unit which is constructed with a negative lens, a negative lens and a positive lens, totaling three lenses, whose overall refractive power is negative and which, during zooming, is movable as mainly governing the variation of the focal length, a third lens unit having a positive refractive power and containing an aspheric surface and, after a bit large air separation, a fourth lens unit having a positive refractive power and movable for compensating for the image shift with zooming and for focusing.

Japanese Laid-Open Patent Application No.

Hei 5-72472 discloses a zoom lens, using aspheric surfaces, which comprises, in order from an object side, a first lens unit having a positive refractive power and stationary during zooming and focusing, a second lens

unit having a negative refractive power and movable for zooming, a third lens unit of positive refractive power fixed and having a light condensing action and a fourth lens unit of positive refractive power axially movable for keeping the constant position of the image plane against zooming, wherein the second lens unit is constructed with a negative lens of meniscus form, a negative lens of bi-concave form and a positive lens, the third lens unit is constructed with a single lens having one or more aspheric surfaces, and the fourth lens unit is constructed with lenses having one or more aspheric surfaces.

In the above-mentioned references, however, there is not disclosed any zoom lens in which the second lens unit is constructed with four lenses. Further, there is not disclosed any arrangement in which an aspheric surface is contained in the second lens unit.

Meanwhile, U.S. Patent No. 4,299,454 discloses a zoom lens comprising, in order from an object side, a positive first lens unit, a negative second lens unit and a positive rear lens unit, wherein zooming is performed by moving at least two lens units including the negative second lens unit. The negative second lens unit is constructed with, in order from the object side, first and second negative lenses and a positive doublet. However, because the third lens unit is movable, the mechanism therefor results in an increased complexity of structure. U.S. Reissue Patent No. 32,923 discloses a

zoom lens comprising, in order from an object side, a positive first lens unit, a negative second lens unit, a stop, a positive third lens unit and a positive fourth lens unit. The first and fourth lens units are arranged during zooming to move in the same direction, and the stop remains stationary during zooming. Further, the second lens unit contains one cemented lens.

In the two U.S. Patents mentioned above, however, there are no examples suggesting that the third lens unit is constructed with inclusion of a double-aspherical lens and also that any aspheric surface is used in the second lens unit.

Japanese Laid-Open Patent Applications No. Hei 7-270684 and No. Hei 7-318804 disclose a zoom lens comprising, in order from an object side, a first lens unit having a positive refractive power and fixed, a second lens unit having a negative refractive power and axially movable for varying the focal length, a third lens unit having a positive refractive power and fixed and a fourth lens unit of positive refractive power axially movable for keeping the constant position of the image plane against zooming and for focusing, wherein the second lens unit is constructed with four single lenses. However, there are disclosed no zoom lenses having a double-aspherical lens in the third lens unit.

Japanese Laid-Open Patent Applications No. Hei 5-060974 discloses a zoom lens comprising, in order from an object side, a first lens unit having a positive



refractive power and fixed, a second lens unit having a negative refractive power and axially movable for varying the focal length, a third lens unit having a positive refractive power and fixed and a fourth lens unit of positive refractive power axially movable for keeping the constant position of the image plane against zooming and for focusing, wherein the total length of the entire lens system is shortened. However, there are disclosed no zoom lenses both with the use of four single lenses in the second lens unit and with a double-aspherical lens in the third lens unit.

#### BRIEF SUMMARY OF THE INVENTION

The present invention is concerned with an unconventional or novel zoom lens configuration which improves the compact form of the entire lens system. An object of the invention is, therefore, to provide a zoom lens of high range, while still permitting the high optical performance to be maintained stable throughout and, moreover, the number of constituent lenses to be reduced so that it takes a simple form, and an optical apparatus using the same.

To attain the above-described object, in accordance with a first aspect of the invention, there is provided a zoom lens which comprises, in order from an object side to an image side, a first lens unit of positive refractive power, a second lens unit of negative refractive power, a third lens unit of positive

refractive power and a fourth lens unit of positive refractive power, zooming from a wide-angle end to a telephoto end being effected by moving the second lens unit toward the image side, and shifting of an image plane due to zooming being compensated for by moving the fourth lens unit, wherein the second lens unit consists of four separate single lenses including three negative lenses and one positive lens, and the third lens unit has at least one positive lens both surfaces of which are aspherical.

Further, in accordance with a second aspect of the invention, there is provided a zoom lens which comprises, in order from an object side to an image side, a first lens unit of positive refractive power, a second lens unit of negative refractive power, a third lens unit of positive refractive power and a fourth lens unit of positive refractive power, zooming from a wide-angle end to a telephoto end being effected by moving the second lens unit toward the image side, and shifting of an image plane due to zooming being compensated for by moving the fourth lens unit, wherein the second lens unit consists of four single lenses including three negative lenses and one positive lens, and at least one of the four single lenses is an aspherical lens.

Further, in accordance with a third aspect of the invention, there is provided an optical apparatus which comprises a zoom lens according to the first or second aspect of the invention.

The above and further aspects and features of the invention will become apparent from the following detailed description of preferred embodiments thereof taken in conjunction with the accompanying drawings.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

Fig. 1 is a longitudinal section view of a numerical example 1 of the zoom lens.

Figs. 2A to 2D are graphic representations of the aberrations of the zoom lens of numerical example 1 in the wide-angle end.

Figs. 3A to 3D are graphic representations of the aberrations of the zoom lens of numerical example 1 in a middle focal length position.

Figs. 4A to 4D are graphic representations of the aberrations of the zoom lens of numerical example 1 in the telephoto end.

Fig. 5 is a longitudinal section view of a numerical example 2 of the zoom lens.

Figs. 6A to 6D are graphic representations of the aberrations of the zoom lens of numerical example 2 in the wide-angle end.

Figs. 7A to 7D are graphic representations of the aberrations of the zoom lens of numerical example 2 in a middle focal length position.

Figs. 8A to 8D are graphic representations of the aberrations of the zoom lens of numerical example 2 in the telephoto end.

Fig. 9 is a longitudinal section view of a numerical example 3 of the zoom lens.

Figs. 10A to 10D are graphic representations of the aberrations of the zoom lens of numerical example 3 in the wide-angle end.

Figs. 11A to 11D are graphic representations of the aberrations of the zoom lens of numerical example 3 in a middle focal length position.

Figs. 12A to 12D are graphic representations of the aberrations of the zoom lens of numerical example 3 in the telephoto end.

Fig. 13 is a longitudinal section view of a numerical example 4 of the zoom lens.

Figs. 14A1 to 14A4, 14B1 to 14B4 and 14C1 to 14C4 are graphic representations of the aberrations of the zoom lens of numerical example 4 in three different operative positions.

Fig. 15 is a longitudinal section view of a numerical example 5 of the zoom lens.

Figs. 16A1 to 16A4, 16B1 to 16B4 and 16C1 to 16C4 are graphic representations of the aberrations of the zoom lens of numerical example 5 in three different operative positions.

Fig. 17 is a longitudinal section view of a numerical example 6 of the zoom lens.

Figs. 18A1 to 18A4, 18B1 to 18B4 and 18C1 to 18C4 are graphic representations of the aberrations of the zoom lens of numerical example 6 in three different

operative positions.

Fig. 19 is a schematic diagram for explaining an example of application of the zoom lens of each of the numerical examples 1 to 6 to the video camera.

#### DETAILED DESCRIPTION OF THE INVENTION

Hereinafter, preferred embodiments of the invention will be described in detail with reference to the drawings.

Fig. 1 in block diagram shows a numerical example 1 of the rear-focus type zoom lens according to a first embodiment of the invention. Figs. 2A to 2D, Figs. 3A to 3D and Figs. 4A to 4D graphically show the aberrations of the zoom lens of numerical example 1 in the wide-angle end, an middle focal length position and the telephoto end, respectively.

Fig. 5 in block diagram shows a numerical example 2 of the rear-focus type zoom lens according to the first embodiment of the invention. Figs. 6A to 6D, Figs. 7A to 7D and Figs. 8A to 8D graphically show the aberrations of the zoom lens of numerical example 2 in the wide-angle end, an middle focal length position and the telephoto end, respectively.

Fig. 9 in block diagram shows a numerical example 3 of the rear-focus type zoom lens according to the first embodiment of the invention. Figs. 10A to 10D, Figs. 11A to 11D and Figs. 12A to 12D graphically show the aberrations of the zoom lens of numerical example 3

in the wide-angle end, an middle focal length position and the telephoto end, respectively.

Referring to Figs. 1, 5 and 9, the zoom lens comprises, in order from an object side to an image side, a first lens unit L1 of positive refractive power, a second lens unit L2 of negative refractive power, a third lens unit L3 of positive refractive power and a fourth lens unit L4 of positive refractive power. An aperture stop SP is positioned in front of the third lens unit L3. A color separation prism, a face plate and a filter are represented by a glass block G. Reference character IP stands for an image plane in which a CCD or like image pickup element is disposed.

In the numerical examples 1 to 3, during zooming from the wide-angle end to the telephoto end, as indicated by the arrows in Figs. 1, 5 and 9, the second lens unit axially moves toward the image side, while the fourth lens unit axially moves in part or as a whole (in the numerical examples 1 to 3, as a whole) in a locus convex toward the object side to compensate for the shift of the image plane with variation of the focal length.

For focusing purposes, the fourth lens unit is also made axially movable in part or as a whole (in the numerical examples 1 to 3, as a whole), that is, the rear-focus method is employed. With an infinitely distant object or an object at the minimum distance, during zooming from the wide-angle end to the telephoto end, the fourth lens unit axially moves, while depicting

a locus shown by the solid line curve 4a or dashed line curve 4b, respectively, in Figs. 1, 5 and 9.

Incidentally, the first and third lens units remain stationary during zooming and during focusing.

In the numerical examples 1 to 3, the provision for keeping the constant position of the image plane against zooming and the focusing provision both are made in one and the same lens unit, i.e., the fourth lens unit. In particular, as can be seen from the curves 4a and 4b in Figs. 1, 5 and 9, a locus of motion of the fourth lens unit is made convex toward the object side. Such a locus allows the space between the third and fourth lens units to be utilized with an ever higher efficiency, thus advantageously achieving a shortening of the total length of the entire lens system.

In the numerical examples 1 to 3, with the setting in, for example, the telephoto end, during focusing from infinity to the minimum object distance, the fourth lens unit moves forward as indicated by a straight line 4c in Figs. 1, 5 and 9.

It should now be pointed out that the most characteristic features in the first embodiment are that the second lens unit L2 is constructed with three negative lenses and one positive lens, totaling four separate single lenses and further that, among the surfaces constituting the third lens unit L3, both of the surfaces of at least one positive lens are made aspherical.

In more detail, for the zoom lens of such a configuration as in the first embodiment, the second lens unit L2 that contributes to a large variation of the focal length is made up from such a number of constituent lenses in such an arrangement as described above. The shares of the power the individual lenses take each are thus reduced to thereby assure much lowering of the Petzval sum. Despite the high zoom ratio, therefore, the variation of the field curvature with zooming is minimized. Further, since the second lens unit produces a diverging light beam, because the third lens unit L3 gets so large a diameter as to admit of that light beam, one or more double-aspherical positive lenses are used in the third lens unit, thus assuring improvements of even the level of optical performance. Also, this obviates the necessity of using the aspheric surface in the fourth lens unit, thereby producing an advantage in production cost. In the numerical examples 1 to 3, the asphere is used in both surfaces of a positive lens closest to the object side constituting part of the third lens unit L3.

For the numerical examples 1 to 3, the rules of lens design are set forth as above to obtain a high optical performance throughout the entire zooming range and throughout the entire focusing range.

The zoom lens according to the first embodiment can be realized by satisfying the features described above. To maintain good stability of the optical performance with the zoom ratio kept so much high, it is



preferable to satisfy at least one of the following features or conditions.

(a) The second lens unit L2 is constructed with, in order from an object side to an image side, a negative first lens having a concave surface of larger curvature facing the image side than that of an opposite surface thereof, a bi-concave negative second lens, a positive third lens having a convex surface of larger curvature facing the object side than that of an opposite surface thereof, and a bi-concave negative fourth lens.

With the use of the zoom type as in the first embodiment, in order to increase the zoom ratio, the second lens unit L2 that contributes greatly to the function of varying the focal length must be necessarily made either larger in the total zooming movement, or shorter in the focal length. The former method invites an increase of the size of the zoom lens, so that it is not favorable. The latter method, although not causing the size to increase, puts the second lens unit L2 in suffering a larger strain of varying the focal length, so that it becomes difficult to maintain good stability of the optical performance. Then, the second lens unit L2 is made up in such a manner as described above, producing an advantage of preventing the size of the entire lens system from increasing, while the optical performance, too, is maintained in good stability. Particularly as far as the second lens unit L2 is concerned, chromatic aberrations are corrected well by arranging the negative

lens, the negative lens, the positive lens and the negative lens in this order from the object side, or by making a nearly symmetric power arrangement. That is, the principal point is achromatized well.

(b) In the third lens unit L3, the double aspheres for the positive lens are applied to the first lens, when counted from the object side. This makes it possible to correct aberrations ever more effectively. Particularly for the wide-angle end, the on-axial spherical aberration is corrected well. In this respect, it is desired to form the aspheric surface to such a shape that the positive refractive power becomes progressively weaker toward the margin thereof.

It has been known in the prior art to use one aspheric surface in the third lens unit. The limitation of the number of aspheric surfaces to one, however, has come and is coming in ever increasing difficulties of sufficiently improving the correction of aberrations and the compact form in the zoom lens configuration of the first embodiment. In other words, to further reduce the bulk of the entire lens system, it is important that the light beam decreases in diameter sufficiently as it passes through the third lens unit. What enters the third lens unit is the diverging rays of light from the second lens unit. To let them emerge in a converging light beam, therefore, as a rule, the third lens unit has borne a strong refractive power.

On this account, the first embodiment is to form

the positive lens of the third lens unit to a double-aspherical shape, thereby making it possible to reduce the diameter of the light beam in such a manner as to keep hold of a good state of aberrations. Owing to this, the separation between the third and fourth lens units can also be further reduced to decrease the size of the entire lens system. The improvements of the compact form are thus achieved. In particular, as the diverging rays are incident on the front surface of the double-aspherical lens and then refracted by that surface, the rays incident on the rear surface are made convergent. This allows the aberrations to be corrected well.

(c) The focal length  $f_2$  of the second lens unit lies within the following range:

$$0.24 < |f_2/f_A| < 0.33 \quad \dots (1)$$

where  $f_A = \sqrt{f_w \cdot f_t}$

wherein  $f_w$  and  $f_t$  are focal lengths in the wide-angle end and the telephoto end of the entire lens system, respectively.

The above condition (1) is a condition for making appropriate the focal length (in other words, refractive power) of the second lens unit. When the upper limit of the condition (1) is exceeded, as this means that the focal length of the second lens unit is too long, the aberrations are favorably corrected, but the total zooming movement of the second lens unit must be increased to obtain the desired zoom ratio, causing the size of the entire lens system to increase

objectionably. Conversely, when the lower limit is exceeded, the Petzval sum increases in the negative direction, causing the image plane to decline. So, it becomes difficult to keep hold of good optical performance.

(d) For the second lens unit, the mean Abbe number  $v_p$  of materials of positive lenses which constitute the second lens unit and the mean Abbe number  $v_n$  of materials of negative lenses which constitute the second lens unit lie within the respective following ranges:

$$36 < v_n < 65 \quad \dots (2)$$

$$20 < v_p < 35 \quad \dots (3)$$

The above conditions (2) and (3) are provided for correcting well the chromatic aberrations the second lens unit produces. As mentioned in the foregoing, the second lens unit contributes to a large variation of the focal length. Therefore, the aberrations the second lens unit produces must be corrected well. Particularly for the zoom lens whose zoom ratio is as high as 22 or more, it is important to correct chromatic aberrations well, too. When the upper limit of the condition (2) is exceeded, over-correction of longitudinal chromatic aberration results. Conversely, when the lower limit is exceeded, under-correction of longitudinal chromatic aberration results. In the condition (3), the phenomena to occur are reversed to those of the condition (2). In either case, when the upper or lower limit is exceeded, the chromatic aberrations are also hardly corrected well.

(e) To correct aberrations, the mean refractive index  $N_n$  of materials of negative lenses which constitute the second lens unit lies within the following range:

$$1.70 < N_n < 1.95 \quad \dots (4)$$

The above condition (4), which correlates with the condition (1), is a condition for preventing the Petzval sum from deteriorating, by using high-refractive-index glasses in the negative lenses. When the limits are exceeded, the curvature of field comes to deteriorate.

(f) Letting the radius of curvature of the  $i$ -th lens surface, when counted from the object side, in the second lens unit be denoted by  $R_{2i}$ , it is preferable to satisfy at least one of the following conditions:

$$0.82 < |R_{22}/f_2| < 1.07 \quad \dots (5)$$

$$1.66 < |R_{24}/R_{25}| < 4.00 \quad \dots (6)$$

$$1.00 < |R_{26}/R_{27}| < 1.46 \quad \dots (7)$$

The above conditions (5) to (7) are provided for correcting mainly spherical aberration, coma, astigmatism and field curvature in good balance.

When the upper limit of the condition (5) is exceeded, coma becomes large. Conversely, when the lower limit is exceeded, the image plane comes to bend concave toward the object side. So, these violations are objectionable.

The condition (6) is provided for correcting the various aberrations by cancellation between each other's surfaces. When the upper limit of the condition (6) is

exceeded, under-correction of spherical aberration results. So, the violation is objectionable. Conversely, when the lower limit is exceeded, the inward coma increases objectionably.

When the upper limit of condition (7) is exceeded, spherical aberration is objectionably over-corrected. Conversely, when the lower limit is exceeded, barrel-type distortion in the wide-angle end increases objectionably.

On the conditions (5) to (7), satisfaction of any one of the conditions gives the respective individual effect and result. However, needless to say here, simultaneous satisfaction of all the conditions (5) to (7) is desired from the viewpoint of the aberration correction.

(g) The focal length  $f_3$  of the third lens unit lies within the following range:

$$0.86 < |f_3/f_A| < 1.09 \quad \dots (8)$$

The above condition (8) is a condition for making appropriate the focal length (in other words, power) of the third lens unit. When the focal length of the third lens unit is longer than the upper limit of the condition (8), the strain of the third lens unit on variation of the focal length becomes lighter, so that it is favorable for correcting aberrations. However, the strain of the fourth lens unit on variation of the focal length becomes heavy. So, it becomes necessary to increase the number of lens elements in the fourth lens

unit and put an aspherical lens or lenses therein. Therefore, it becomes difficult to improve the compact form. Conversely, when the lower limit is exceeded, as this means that the strain of the third lens unit on variation of the focal length is too heavy, the optical performance, especially in respect to spherical aberration, detracts from the good level. So, the violation is objectionable.

(h) The magnification  $\beta_{4T}$  of the fourth lens unit in the telephoto end with an object at infinity lies within the following range:

$$0.40 < \beta_{4T} < 0.55 \quad \dots (9)$$

This factor relates to the focal length of the fourth lens unit. When the magnification of the fourth lens unit is higher than the upper limit of the condition (9), the amount of movement of the fourth lens unit becomes large, hindering the size from being minimized. Conversely, when the lower limit is exceeded, the back focal distance increases objectionably.

Next, three numerical examples 1 to 3 of the first embodiment are shown in detail. In the numerical data for the examples 1 to 3,  $R_i$  is the radius of curvature of the  $i$ -th lens surface, when counted from the object side,  $D_i$  is the axial separation (lens thickness or air space) between the  $i$ -th and  $(i+1)$ st surfaces, and  $N_i$  and  $v_i$  are respectively the refractive index and Abbe number of the material of the  $i$ -th lens element.

The shape of an aspheric surface is expressed in

the coordinates with an X axis in the direction of an optical axis and an H axis in the direction perpendicular to the optical axis, the direction in which light advances being taken as positive, by the following equation:

$$X = \frac{(1/R) H^2}{1 + \sqrt{1 - (1+K) (H/R)^2}} + BH^4 + CH^6 + DH^8 + EH^{10} + FH^{12}$$

where R is the radius of the osculating sphere, and K, B, C, D, E and F are the aspheric coefficients.

Also, it is to be noted that the notation of "e-Z", for example, means "10<sup>-Z</sup>".

The values of the factors in the above-described conditions (1) to (9) for the numerical examples 1 to 3 are listed in Table-1.

#### Numerical Example 1:

f=	1~22.30	Fno=	1.65~3.91	2ω=	57.6°~2.8°		
R 1=	11.212	D 1=	0.32	N 1=	1.846660	v 1=	23.8
R 3=	6.058	D 2=	1.22	N 2=	1.603112	v 2=	60.6
R 3=	-82.529	D 3=	0.05				
R 4=	5.587	D 4=	0.68	N 3=	1.696797	v 3=	55.5
R 5=	14.317	D 5=	Variable				
R 6=	7.675	D 6=	0.20	N 4=	1.834000	v 4=	37.2
R 7=	1.241	D 7=	0.66				
R 8=	-4.048	D 8=	0.17	N 5=	1.834807	v 5=	42.7
R 9=	6.949	D 9=	0.07				
R10=	2.501	D10=	0.67	N 6=	1.846660	v 6=	23.8



R11= -3.408    D11= 0.04  
 R12= -2.782    D12= 0.17    N 7= 1.804000    v 7= 46.6  
 R13= 6.600    D13= Variable  
 R14= Stop    D14= 0.15  
 R15\*= 2.129    D15= 1.05    N 8= 1.583126    v 8= 59.4  
 R16\*= -6.481    D16= 0.05  
 R17= 3.123    D17= 0.20    N 9= 1.846660    v 9= 23.8  
 R18= 1.807    D18= Variable  
 R19= 3.092    D19= 0.68    N10= 1.516330    v10= 64.1  
 R20= -2.037    D20= 0.17    N11= 1.805181    v11= 25.4  
 R21= -3.768    D21= Variable  
 R22=  $\infty$     D22= 0.81    N12= 1.516330    v12= 64.2  
 R23=  $\infty$

\*) Aspheric Surface

Variable Separation	Focal Length		
	1.00	8.95	22.30
D 5	0.17	4.79	5.67
D13	5.95	1.33	0.45
D18	2.85	1.17	3.27
D21	0.73	2.42	0.32

Aspheric Coefficients:

R15: K=-2.95062e+00    B= 2.77965e-02    C=-4.26812e-03  
       D=-3.54540e-04    E= 1.02158e-03    F=-3.32079e-04  
 R16: K=-1.61171e+01    B= 4.17705e-03    C=-2.13701e-03  
       D= 2.19892e-03    E=-8.75276e-04    F= 2.08033e-05

# Numerical Example 2:

f= 1~24.54      Fno= 1.65~4.02      2 $\omega$ = 57.9°~2.6°

R 1=	11.033	D 1=	0.32	N 1=	1.846660	v 1=	23.8
R 2=	6.233	D 2=	1.34	N 2=	1.603112	v 2=	60.6
R 3=	-77.014	D 3=	0.05				
R 4=	5.701	D 4=	0.69	N 3=	1.638539	v 3=	55.4
R 5=	13.327	D 5=	Variable				
R 6=	6.654	D 6=	0.20	N 4=	1.834000	v 4=	37.2
R 7=	1.222	D 7=	0.69				
R 8=	-3.818	D 8=	0.17	N 5=	1.834807	v 5=	42.7
R 9=	9.572	D 9=	0.12				
R10=	2.639	D10=	0.64	N 6=	1.846660	v 6=	23.8
R11=	-3.900	D11=	0.01				
R12=	-3.497	D12=	0.17	N 7=	1.804000	v 7=	46.6
R13=	5.438	D13=	Variable				
R14=	Stop	D14=	0.15				
R15*=	2.264	D15=	1.04	N 8=	1.583126	v 8=	59.4
R16*=	-6.688	D16=	0.05				
R17=	3.418	D17=	0.20	N 9=	1.846660	v 9=	23.8
R18=	1.995	D18=	Variable				
R19=	3.275	D19=	0.74	N10=	1.516330	v10=	64.1
R20=	-1.950	D20=	0.17	N11=	1.805181	v11=	25.4
R21=	-3.563	D21=	Variable				
R22=	$\infty$	D22=	0.81	N12=	1.516330	v12=	64.2
R23=	$\infty$						

\*) Aspheric Surface

Variable Separation	Focal Length		
	1.00	9.49	24.54
D 5	0.21	5.27	6.23
D13	6.25	1.20	0.23
D18	3.12	1.23	3.48
D21	0.74	2.62	0.37

#### Aspheric Coefficients:

R15: K=-2.99695e+00 B= 2.31988e-02 C=-1.67619e-03  
D=-5.45254e-04 E= 6.77550e-04 F=-1.69552e-04  
R16: K=-7.75069e+00 B= 6.76237e-03 C= 1.45357e-04  
D= 6.41149e-04 E=-2.21028e-04 F=-2.54219e-05

#### Numerical Example 3:

f= 1~19.99 Fno= 1.85~3.52  $2\omega = 57.5^\circ \sim 3.1^\circ$   
R 1= 11.418 D 1= 0.32 N 1= 1.846660  $\nu$  1= 23.8  
R 2= 6.159 D 2= 1.28 N 2= 1.603112  $\nu$  2= 60.6  
R 3= -54.401 D 3= 0.05  
R 4= 5.371 D 4= 0.68 N 3= 1.638539  $\nu$  3= 55.4  
R 5= 13.218 D 5= Variable  
R 6= 11.860 D 6= 0.20 N 4= 1.834000  $\nu$  4= 37.2  
R 7= 1.266 D 7= 0.58  
R 8= -4.968 D 8= 0.17 N 5= 1.804000  $\nu$  5= 46.6  
R 9= 4.967 D 9= 0.15  
R10= 2.681 D10= 0.59 N 6= 1.846660  $\nu$  6= 23.8  
R11= -5.821 D11= 0.03  
R12= -4.397 D12= 0.17 N 7= 1.772499  $\nu$  7= 49.6  
R13= 8.565 D13= Variable

R14= Stop D14= 0.24  
 R15\*= 2.197 D15= 0.88 N 8= 1.583126 v 8= 59.4  
 R16\*= -6.237 D16= 0.07  
 R17= 3.230 D17= 0.20 N 9= 1.805181 v 9= 25.4  
 R18= 1.899 D18= Variable  
 R19= 3.521 D19= 0.61 N10= 1.516330 v10= 64.1  
 R20= -1.986 D20= 0.17 N11= 1.805181 v11= 25.4  
 R21= -3.697 D21= Variable  
 R22=  $\infty$  D22= 0.80 N12= 1.516330 v12= 64.2  
 R23=  $\infty$

\*) Aspheric Surface

Variable Separation	Focal Length		
	1.00	8.47	19.99
D 5	0.21	4.90	5.79
D13	6.00	1.30	0.41
D18	2.78	1.13	2.90
D21	0.73	2.39	0.61

Aspheric Coefficients:

R15: K=-2.50798e+00 B= 2.04055e-02 C=-2.87508e-03  
 D=-6.23936e-04 E= 1.08180e-03 F=-2.36535e-04  
 R16: K=-8.84264e+00 B= 6.94108e-03 C=-3.62483e-03  
 D= 1.84163e-03 E=-1.00394e-05 F=-9.76033e-05

Table-1

Condition No. & Factor	Numerical Example		
	1	2	3
(1) $ f_2/f_A $	0.27	0.27	0.30
(2) $v_n$	42.2	42.2	44.4
(3) $v_p$	23.8	23.8	23.8
(4) $N_n$	1.82	1.82	1.80
(5) $ R_{22}/f_2 $	0.97	0.92	0.95
(6) $ R_{24}/R_{25} $	2.78	3.63	1.85
(7) $ R_{26}/R_{27} $	1.23	1.12	1.32
(8) $ f_3/f_A $	0.97	0.96	0.99
(9) $\beta_{4T}$	0.50	0.50	0.50

It will be appreciated from the foregoing that, according to the first embodiment, the bulk and size of the entire lens system are minimized and, despite the very high zoom ratio and as fast a speed as about 1.6 in F-number, a high optical performance is obtained. Such a zoom lens is achieved by using a smaller number of constituent lenses than was heretofore usual. Further, an excellent optical apparatus using the zoom lens can be realized.

Another or second embodiment of the present invention is described in detail by reference to the drawings below.

Figs. 13, 15 and 17 in block diagram show numerical examples 4 to 6 of the zoom lens according to

the second embodiment. Figs. 14A1 to 14A4, 14B1 to 14B4 and 14C1 to 14C4, Figs. 16A1 to 16A4, 16B1 to 16B4 and 16C1 to 16C4 and Figs. 18A1 to 18A4, 18B1 to 18B4 and 18C1 to 18C4 show the aberrations of the zoom lenses of numerical examples 4 to 6, respectively, in three different operative positions. Figs. 14A1 to 14A4, 16A1 to 16A4 and 18A1 to 18A4 show the aberrations in the wide-angle end, Figs. 14B1 to 14B4, 16B1 to 16B4 and 18B1 to 18B4 show the aberrations in a middle focal length position, and Figs. 14C1 to 14C4, 16C1 to 16C4 and 18C1 to 18C4 show the aberrations in the telephoto end. In the aberration curves, d and g stand for the spectral d- and g-lines, respectively, and  $\Delta M$  and  $\Delta S$  stand for the meridional and sagittal image surfaces, respectively. The lateral chromatic aberration is expressed by the g-line.

In the lens block diagrams of Figs. 13, 15 and 17, reference numerals 1 to 4 denote the first to fourth lens units, respectively, SP stands for the stop, P stands for a glass block such as the face plate of the CCD and an optical low-pass filter, and IP stands for the image plane. In the numerical examples 4 to 6, during zooming from the wide-angle end to the telephoto end, as indicated by the arrows in Figs. 13, 15 and 17, the second lens unit 2 axially moves toward the image side, while the fourth lens unit 4 axially moves to compensate for the shift of the image plane with variation of the focal length. The first lens unit 1, the third lens unit

3 and the stop SP remain stationary during zooming.

The locus of zooming movement of the fourth lens unit 4 differs with different object distances. The locus shown by the solid line in Figs. 13, 15 and 17 is for an infinitely distant object, and the locus shown by the dashed line is for an object at the minimum distance. That is, the zoom lenses of the numerical examples 4 to 6 employ the rear-focus method in which the fourth lens unit is axially moved for focusing.

Now, the most characteristic features in the second embodiment are that the second lens unit 2 is constructed with three negative lenses and one positive lens, totaling four single lenses, and further that, among the lenses constituting the second lens unit 2, at least one lens is made aspherical.

In more detail, for the zoom lens of the type as in the second embodiment, if the second lens unit 2 that contributes to a large variation of the focal length is made up in such a way as described above, the share of the power each lens unit should take can be decrease to assure reduction of the Petzval sum. By this, even if the zoom ratio is made high, the variation of field curvature with zooming can be lessened. Further, the use of one or more aspheric surfaces in the second lens unit 2 can assure an increase of the level of optical performance, too. In the numerical examples 4 to 6, the front surface of the positive lens in the second lens unit is aspherical.

The features described above suffice for achieving the second embodiment. To further improve the aberration correction, it is desired that the second lens unit 2 is constructed as comprising, in order from an object side to an image side, a negative first lens having a concave surface of larger curvature facing the image side than that of an opposite surface thereof, a bi-concave negative second lens, a positive third lens having a convex surface of larger curvature facing the object side than that of an opposite surface thereof and a bi-concave negative fourth lens.

With the use of the zoom type as in the first embodiment, in order to increase the zoom ratio, the second lens unit 2 that contributes greatly to the function of varying the focal length must be necessarily made either larger in the total zooming movement, or shorter in focal length. The former method is unfavorable because it increases the size of the zoom lens. The latter method, although not causing the size to increase, puts a large strain on the second lens unit 2, causing good stability of the optical performance to be hardly maintained. Taking these into account, the second lens unit L2 is made up in such a manner as described above. By this, the size of the entire lens system is prevented from increasing, and the optical performance, too, is maintained to good stability. Particularly, as far as the second lens unit L2 is concerned, the power arrangement is made nearly



symmetrical. In other words, the negative lens, the negative lens, the positive lens and the negative lens are arranged in this order from the object side, thereby correcting chromatic aberrations well. That is, the achromatism of the principal point is effected well. In addition, the aspheric surface is used to improve the off-axial optical performance.

Furthermore, the aspheric surface in the second lens unit takes its place in the positive third lens. With this arrangement, it becomes possible to correct aberrations more effectively. Particularly, the off-axial flare can be corrected well. For this purpose, it is desired to form the aspheric surface to such a shape that the positive refractive power becomes progressively weaker toward the marginal zone of the lens.

Also, letting the focal lengths in the wide-angle end and the telephoto end of the entire lens system be denoted by  $f_w$  and  $f_t$ , respectively, the focal length  $f_2$  of the second lens unit is desired to fall within the following range:

$$0.25 < |f_2/f_A| < 0.41 \quad \dots (10)$$

where  $f_A = \sqrt{f_w \cdot f_t}$ .

The above condition (10) is a condition for making appropriate the focal length (in other words, refractive power) of the second lens unit. When the upper limit of the condition (10) is exceeded, as this means that the focal length of the second lens unit is

too long, the aberrations are favorably corrected, but the total zooming movement of the second lens unit must be increased to obtain the desired zoom ratio, causing the size of the entire lens system to increase objectionably. Conversely, when the lower limit is exceeded, the Petzval sum increases in the negative direction, causing the image plane to decline. So, it becomes difficult to keep hold of good optical performance.

Also, the mean Abbe number  $v_p$  of materials of positive lens in the second lens unit and the mean Abbe number  $v_n$  of materials of negative lenses in the second lens unit are desired to fall within the respective following ranges:

$$36 < v_n < 65 \quad \dots (11)$$

$$20 < v_p < 35 \quad \dots (12)$$

The above inequalities of conditions (11) and (12) have an aim to correct well the chromatic aberrations the second lens unit produces. As mentioned in the foregoing, the second lens unit contributes to a large variation of the focal length. Therefore, the aberrations the second lens unit produces must be corrected well. Particularly for the zoom lens of as high a range as exceeding 20, it is of importance that chromatic aberrations, too, be corrected well. When the upper limit of the condition (11) is exceeded, over-correction of longitudinal chromatic aberration results. Conversely, when the lower limit is exceeded,

under-correction of longitudinal chromatic aberration results. In the condition (12), the phenomena to occur are reversed to those of the condition (11). However, it is still valid that, when the upper or lower limit is exceeded, the chromatic aberrations are hardly corrected well.

To further improve the aberration correction, the mean refractive index  $N_n$  of materials of negative lenses in the second lens unit is desired to fall within the following range:

$$1.71 < N_n < 1.95 \quad \dots (13)$$

The above condition (13), which correlates with the condition (10), is a condition for preventing the Petzval sum from deteriorating, by using high-refractive-index glasses in the negative lenses. When the limits are exceeded, the curvature of field comes to deteriorate.

Letting the radius of curvature of the  $i$ -th lens surface, when counted from the object side, in the second lens unit be denoted by  $R_{2i}$ , it is also preferable to satisfy at least one of the following conditions:

$$0.79 < |R_{22}/f_2| < 1.32 \quad \dots (14)$$

$$1.28 < |R_{24}/R_{25}| < 3.20 \quad \dots (15)$$

$$0.98 < |R_{26}/R_{27}| < 3.55 \quad \dots (16)$$

The above conditions (14) to (16) have an aim to correct spherical aberration, coma, astigmatism and field curvature in good balance.

When the upper limit of the condition (14) is

exceeded, large coma is produced. Conversely, when the lower limit is exceeded, the image plane is curved concave toward the object side. So, these violations are objectionable.

The condition (15) is a condition for correcting the various aberrations by cancellation between each other's surfaces. When the upper limit of the condition (15) is exceeded, under-correction of spherical aberration results. So, the violation is objectionable. Conversely, when the lower limit is exceeded, the inward coma increases objectionably.

When the upper limit of condition (16) is exceeded, spherical aberration is objectionably over-corrected. Conversely, when the lower limit is exceeded, barrel-type distortion in the wide-angle end increases objectionably.

On the conditions (14) to (16), satisfaction of any one of the conditions gives the respective individual effect and result. However, needless to say here, simultaneous satisfaction of all the conditions is desired from the viewpoint of the aberration correction.

The data for the numerical examples 4 to 6 of the second embodiment are described below.

Also, the values of the factors in the above-described conditions (10) to (16) for the numerical examples 4 to 6 are listed in Table-2.

# Numerical Example 4:

$f = 1 \sim 20.09$        $F_{no} = 1.45 \sim 3.80$        $2\omega = 57.5^\circ \sim 3.1^\circ$

R 1=	11.602	D 1=	0.30	N 1=	1.846660	v 1=	23.8
R 2=	5.983	D 2=	1.13	N 2=	1.603112	v 2=	60.6
R 3=	-65.031	D 3=	0.05				
R 4=	5.350	D 4=	0.63	N 3=	1.696797	v 3=	55.5
R 5=	13.654	D 5=	Variable				
R 6=	9.563	D 6=	0.20	N 4=	1.834807	v 4=	42.7
R 7=	1.419	D 7=	0.57				
R 8=	-7.401	D 8=	0.17	N 5=	1.834000	v 5=	37.2
R 9=	3.555	D 9=	0.12				
R10*=	2.506	D10=	0.66	N 6=	1.846660	v 6=	23.8
R11=	-4.170	D11=	0.05				
R12=	-3.062	D12=	0.17	N 7=	1.882997	v 7=	40.8
R13=	12.531	D13=	Variable				
R14=	(Stop)	D14=	0.24				
R15*=	4.296	D15=	0.83	N 8=	1.583126	v 8=	59.4
R16=	-4.552	D16=	0.17	N 9=	1.846660	v 9=	23.8
R17=	-6.489	D17=	Variable				
R18=	3.016	D18=	0.17	N19=	1.805181	v10=	25.4
R19=	1.615	D19=	0.83	N11=	1.583126	v11=	59.4
R20=	-7.510	D20=	Variable				
R21*=	$\infty$	D21=	0.80	N12=	1.516330	v12=	64.2
R22=	$\infty$						

\*) Aspheric Surface



R11= -20.040 D11= 0.06  
 R12= -6.212 D12= 0.17 N 7= 1.882997 v 7= 40.8  
 R13= 4.029 D13= Variable  
 R14= (Stop) D14= 0.24  
 R15\*= 7.266 D15= 0.42 N 8= 1.589130 v 8= 61.1  
 R16\*= -6.822 D16= Variable  
 R17= 3.832 D17= 0.20 N 9= 1.805181 v 9= 25.4  
 R18= 1.708 D18= 0.73 N10= 1.622992 v10= 58.2  
 R19= -5.692 D19= Variable  
 R20=  $\infty$  D20= 0.80 N11= 1.516330 v11= 64.2  
 R21=  $\infty$

\*) Aspheric Surface

Variable Separation	Focal Length		
	1.00	7.34	20.00
D 5	0.17	5.43	6.91
D13	7.07	1.81	0.33
D16	2.51	1.24	2.95
D19	1.22	2.50	0.78

Aspheric Coefficients:

R10: K= 1.70649e-01 B= 6.91700e-05 C= 3.75749e-04  
 D=-1.12207e-03 E= 3.99870e-04 F= 3.59130e-04  
 R15: K=-2.43481e+00 B=-1.30559e-02 C=-1.05458e-03  
 D=-4.16775e-03 E=-1.22870e-05  
 R20: K= 2.53727e-01 B=-8.97451e-03 C=-1.43273e-03  
 D=-3.76794e-03 E=-9.23858e-04 F= 2.32567e-06

# Numerical Example 6:

$f = 1 \sim 20.01$        $F_{no} = 1.85 \sim 3.57$        $2\omega = 57.5^\circ \sim 31^\circ$

R 1=	11.768	D 1=	0.32	N 1=	1.846660	v 1=	23.8
R 2=	6.152	D 2=	1.28	N 2=	1.603112	v 2=	60.6
R 3=	-36.138	D 3=	0.05				
R 4=	5.155	D 4=	0.68	N 3=	1.638539	v 3=	55.4
R 5=	12.283	D 5=	Variable				
R 6=	11.941	D 6=	0.20	N 4=	1.834000	v 4=	37.2
R 7=	1.326	D 7=	0.58				
R 8=	-2.951	D 8=	0.17	N 5=	1.804000	v 5=	46.6
R 9=	7.313	D 9=	0.15				
R10*=	2.515	D10=	0.59	N 6=	1.846660	v 6=	23.8
R11=	-5.746	D11=	0.03				
R12=	-5.251	D12=	0.17	N 7=	1.772499	v 7=	49.6
R13=	5.609	D13=	Variable				
R14=	(Stop)	D14=	0.24				
R15*=	1.988	D15=	0.88	N 8=	1.583126	v 8=	59.4
R16*=	-6.724	D16=	0.07				
R17=	3.021	D17=	0.20	N 9=	1.805181	v 9=	25.4
R18=	1.756	D18=	Variable				
R19=	2.963	D19=	0.61	N10=	1.516330	v10=	64.1
R20=	-1.935	D20=	0.17	N11=	1.805181	v11=	25.4
R21=	-4.000	D21=	Variable				
R22=	$\infty$	D22=	0.80	N12=	1.516330	v12=	64.2
R23=	$\infty$						

\*) Aspheric Surface



Variable Separation	Focal Length		
	1.00	6.57	20.01
D 5	0.21	4.35	5.51
D13	5.68	1.54	0.37
D18	2.82	1.12	2.94
D21	0.73	2.43	0.61

Aspheric Coefficients:

R10: K=-1.09373e+00 B=-2.56364e-03 C= 3.87936e-03

D=-7.39355e-04 E=-5.96622e-03 F= 3.58603e-03

R15: K=-2.19525e+00 B= 2.43661e-02 C=-3.38432e-03

D=-7.29503e-04 E= 1.50629e-03 F=-2.62456e-04

R20: K=-2.53128e+01 B= 4.68360e-03 C=-3.67596e-03

D= 2.50751e-03 E=-4.68734e-06 F=-4.93152e-05

Table-2

Condition No.	Numerical Example		
	4	5	6
(10)	0.31	0.37	0.28
(11)	40.2	40.2	44.4
(12)	23.8	23.8	23.8
(13)	1.85	1.85	1.80
(14)	1.20	0.88	1.05
(15)	1.42	2.88	2.91
(16)	1.36	3.23	1.09

It will be appreciated from the foregoing that, according to the second embodiment, the bulk and size of the entire lens system are minimized and, despite the very high zoom ratio, while still increasing the speed to about 1.4 in F-number, a high level of optical performance is obtained. Such a zoom lens is made possible to realize by using a smaller number of constituent lenses than was heretofore usual.

Next, a practical example of a video camera using any one of the zoom lenses of numerical examples 1 to 6 as the photographic optical system is described by reference to Fig. 19.

In Fig. 19, the video camera has a body 10 in which a photographic optical system 11 in the form of the zoom lens of each of the numerical examples 1 to 6 is incorporated. By the photographic optical system 11, an image of an object being photographed is formed on an image pickup element 12 such as CCD. An electric signal representing the object image is transferred from the image pickup element 12 to, and stored in, a recording medium 13. The photographer, looking through a viewfinder 14, observes an object image on a display (not shown). The display is formed by a liquid crystal panel on which an equivalent image to that on the image pickup element 12 appears.

In such a manner, the zoom lens of each of the numerical examples 1 to 6 is applied to the video camera or like optical apparatus. An optical apparatus of

Variable	Mean	SD	Min	Max
Age	34.5	10.2	18	65
Gender	0.5	0.5	0	1
Marital status	0.6	0.5	0	1
Education	12.5	1.5	9	16
Income	15.2	5.8	5	35
Occupation	1.2	0.8	0	2
Health status	1.5	0.5	1	2
Stress level	2.5	1.2	1	4
Life satisfaction	3.5	1.5	1	5
Resilience	4.5	1.5	1	6
Optimism	5.5	1.5	1	7
Self-efficacy	6.5	1.5	1	8
Emotional stability	7.5	1.5	1	9
Prosocial behavior	8.5	1.5	1	10
Empathy	9.5	1.5	1	11
Altruism	10.5	1.5	1	12
Helping behavior	11.5	1.5	1	13
Volunteering	12.5	1.5	1	14
Charitable giving	13.5	1.5	1	15
Community involvement	14.5	1.5	1	16
Civic participation	15.5	1.5	1	17
Political engagement	16.5	1.5	1	18
Social responsibility	17.5	1.5	1	19
Environmental awareness	18.5	1.5	1	20
Sustainability	19.5	1.5	1	21
Ethical behavior	20.5	1.5	1	22
Moral reasoning	21.5	1.5	1	23
Integrity	22.5	1.5	1	24
Honesty	23.5	1.5	1	25
Trustworthiness	24.5	1.5	1	26
Reliability	25.5	1.5	1	27
Accountability	26.5	1.5	1	28
Responsibility	27.5	1.5	1	29
Compassion	28.5	1.5	1	30
Kindness	29.5	1.5	1	31
Generosity	30.5	1.5	1	32
Forgiveness	31.5	1.5	1	33
Patience	32.5	1.5	1	34
Self-control	33.5	1.5	1	35
Emotional regulation	34.5	1.5	1	36
Stress management	35.5	1.5	1	37
Resilience	36.5	1.5	1	38
Optimism	37.5	1.5	1	39
Self-efficacy	38.5	1.5	1	40
Emotional stability	39.5	1.5	1	41
Prosocial behavior	40.5	1.5	1	42
Empathy	41.5	1.5	1	43
Altruism	42.5	1.5	1	44
Helping behavior	43.5	1.5	1	45
Volunteering	44.5	1.5	1	46
Charitable giving	45.5	1.5	1	47
Community involvement	46.5	1.5	1	48
Civic participation	47.5	1.5	1	49
Political engagement	48.5	1.5	1	50
Social responsibility	49.5	1.5	1	51
Environmental awareness	50.5	1.5	1	52
Sustainability	51.5	1.5	1	53
Ethical behavior	52.5	1.5	1	54
Moral reasoning	53.5	1.5	1	55
Integrity	54.5	1.5	1	56
Honesty	55.5	1.5	1	57
Trustworthiness	56.5	1.5	1	58
Reliability	57.5	1.5	1	59
Accountability	58.5	1.5	1	60
Responsibility	59.5	1.5	1	61
Compassion	60.5	1.5	1	62
Kindness	61.5	1.5	1	63
Generosity	62.5	1.5	1	64
Forgiveness	63.5	1.5	1	65
Patience	64.5	1.5	1	66
Self-control	65.5	1.5	1	67
Emotional regulation	66.5	1.5	1	68
Stress management	67.5	1.5	1	69
Resilience	68.5	1.5	1	70
Optimism	69.5	1.5	1	71
Self-efficacy	70.5	1.5	1	72
Emotional stability	71.5	1.5	1	73
Prosocial behavior	72.5	1.5	1	74
Empathy	73.5	1.5	1	75
Altruism	74.5	1.5	1	76
Helping behavior	75.5	1.5	1	77
Volunteering	76.5	1.5	1	78

## CLAIMS

1. A zoom lens comprising, in order from an object side to an image side, a first lens unit of positive refractive power, a second lens unit of negative refractive power, a third lens unit of positive refractive power and a fourth lens unit of positive refractive power, zooming from a wide-angle end to a telephoto end being effected by moving said second lens unit toward the image side, and shifting of an image plane due to zooming being compensated for by moving said fourth lens unit, wherein said second lens unit consists of four separate single lenses including three negative lenses and one positive lens, and said third lens unit has at least one positive lens both surfaces of which are aspherical.

2. A zoom lens according to claim 1, wherein said second lens unit consists of, in order from the object side to the image side, a negative first lens having a concave surface of larger curvature facing the image side than that of an opposite surface thereof, a bi-concave negative second lens, a positive third lens having a convex surface of larger curvature facing the object side than that of an opposite surface thereof and a bi-concave negative fourth lens.

3. A zoom lens according to claim 1, satisfying the

following condition:

$$0.24 < |f_2/f_A| < 0.33$$

where  $f_A = \sqrt{f_w \cdot f_t}$  ,

wherein  $f_2$  is a focal length of said second lens unit,  
and  $f_w$  and  $f_t$  are focal lengths in the wide-angle end and  
the telephoto end of said zoom lens, respectively.

4. A zoom lens according to claim 1, satisfying the  
following conditions:

$$36 < v_n < 65$$

$$20 < v_p < 35$$

where  $v_n$  is a mean Abbe number of materials of negative  
lenses which constitute said second lens unit, and  $v_p$  is  
a mean Abbe number of materials of positive lenses which  
constitute said second lens unit.

5. A zoom lens according to claim 1, satisfying the  
following condition:

$$1.70 < N_n < 1.95$$

where  $N_n$  is a mean refractive index of materials of  
negative lenses which constitute said second lens unit.

6. A zoom lens according to claim 1, satisfying the  
following condition:

$$0.82 < |R_{22}/f_2| < 1.07$$

where  $R_{22}$  is a radius of curvature of the second lens  
surface, when counted from the object side, in said  
second lens unit, and  $f_2$  is a focal length of said second

lens unit.

7. A zoom lens according to claim 1, satisfying the following condition:

$$1.66 < |R24/R25| < 4.00$$

where R24 and R25 are radii of curvature of the fourth and fifth lens surfaces, respectively, when counted from the object side, in said second lens unit.

8. A zoom lens according to claim 1, satisfying the following condition:

$$1.00 < |R26/R27| < 1.46$$

where R26 and R27 are radii of curvature of the sixth and seventh lens surfaces, respectively, when counted from the object side, in said second lens unit.

9. A zoom lens according to claim 1, wherein focusing is performed by moving said fourth lens unit.

10. A zoom lens according to claim 1, satisfying the following condition:

$$0.86 < |f3/fA| < 1.09$$

where  $fA = \sqrt{f_w \cdot f_t}$ ,

wherein f3 is a focal length of said third lens unit, and f<sub>w</sub> and f<sub>t</sub> are focal lengths in the wide-angle end and the telephoto end of said zoom lens, respectively.

11. A zoom lens according to claim 1, satisfying

the following condition:

$$0.40 < \beta_{4T} < 0.55$$

where  $\beta_{4T}$  is a magnification in the telephoto end of said fourth lens unit with an object at infinity.

12. A zoom lens comprising, in order from an object side to an image side, a first lens unit of positive refractive power, a second lens unit of negative refractive power, a third lens unit of positive refractive power and a fourth lens unit of positive refractive power, zooming from a wide-angle end to a telephoto end being effected by moving said second lens unit toward the image side, and shifting of an image plane due to zooming being compensated for by moving said fourth lens unit, wherein said second lens unit consists of four single lenses including three negative lenses and one positive lens, and at least one of said four single lenses is an aspherical lens.

13. A zoom lens according to claim 12, wherein said second lens unit consists of, in order from the object side to the image side, a negative first lens having a concave surface of larger curvature facing the image side than that of an opposite surface thereof, a bi-concave negative second lens, a positive third lens having a convex surface of larger curvature facing the object side than that of an opposite surface thereof and a bi-concave negative fourth lens.

14. A zoom lens according to claim 12, wherein said aspherical lens is said third lens.

15. A zoom lens according to claim 12, satisfying the following condition:

$$0.25 < |f_2/f_A| < 0.41$$

where  $f_A = \sqrt{f_w \cdot f_t}$  ,

wherein  $f_2$  is a focal length of said second lens unit, and  $f_w$  and  $f_t$  are focal lengths in the wide-angle end and the telephoto end of said zoom lens, respectively.

16. A zoom lens according to claim 12, satisfying the following conditions:

$$36 < v_n < 65$$

$$20 < v_p < 35$$

where  $v_n$  is a mean Abbe number of materials of negative lenses which constitute said second lens unit, and  $v_p$  is a mean Abbe number of materials of positive lenses which constitute said second lens unit.

17. A zoom lens according to claim 12, satisfying the following condition:

$$1.71 < N_n < 1.95$$

where  $N_n$  is a mean refractive index of materials of negative lenses which constitute said second lens unit.

18. A zoom lens according to claim 12, satisfying the following condition:



$$0.79 < |R22/f2| < 1.32$$

where R22 is a radius of curvature of the second lens surface, when counted from the object side, in said second lens unit, and f2 is a focal length of said second lens unit.

19. A zoom lens according to claim 12, satisfying the following condition:

$$1.28 < |R24/R25| < 3.20$$

where R24 and R25 are radii of curvature of the fourth and fifth lens surfaces, respectively, when counted from the object side, in said second lens unit.

20. A zoom lens according to claim 12, satisfying the following condition:

$$0.98 < |R26/R27| < 3.55$$

where R26 and R27 are radii of curvature of the sixth and seventh lens surfaces, respectively, when counted from the object side, in said second lens unit.

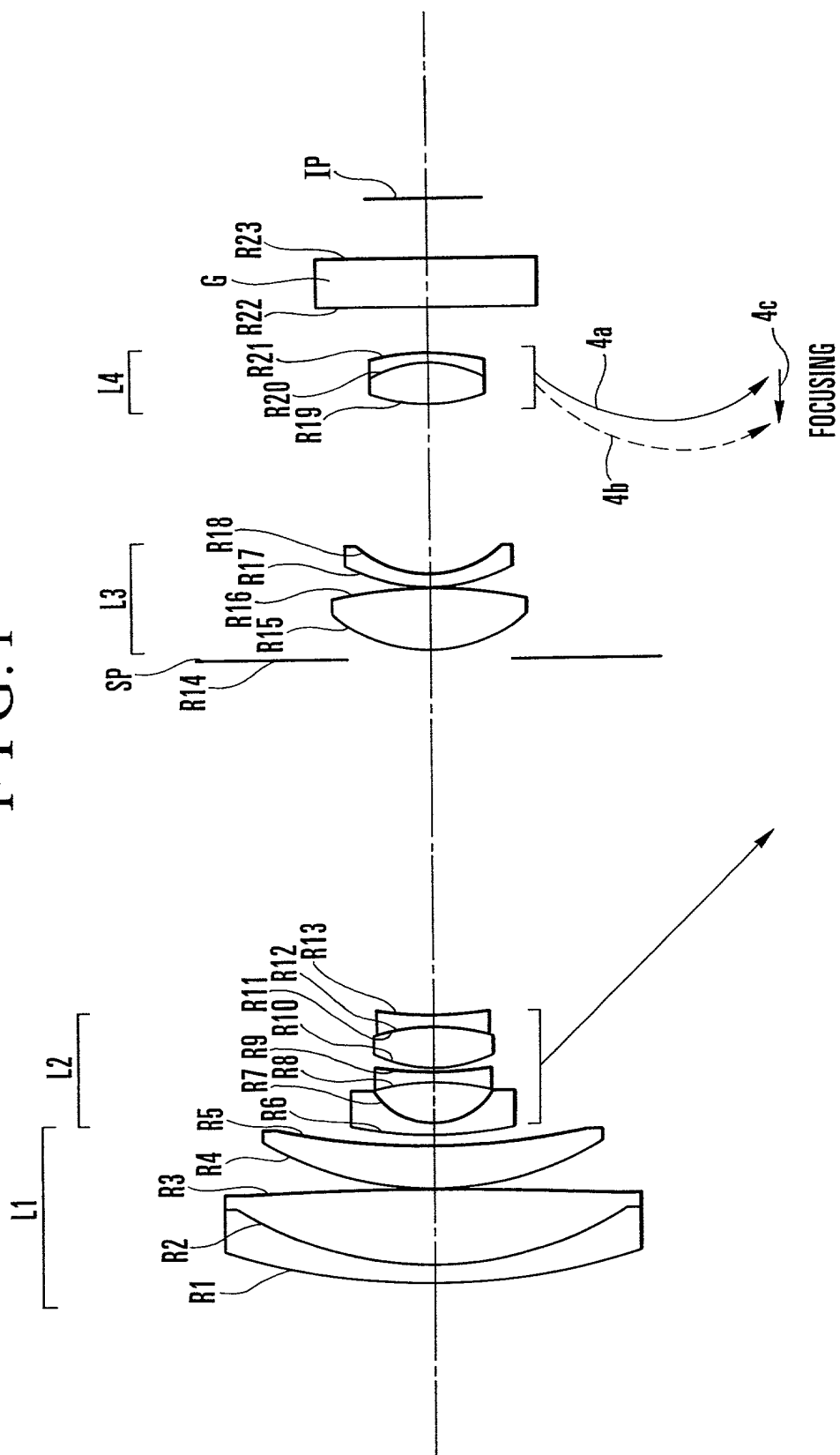
21. A zoom lens according to claim 12, wherein focusing is performed by moving said fourth lens unit.

22. An optical apparatus comprising a zoom lens according to one of claims 1 to 21.

## ABSTRACT OF THE DISCLOSURE

A zoom lens includes, in order from an object side to an image side, a first lens unit of positive refractive power, a second lens unit of negative refractive power, a third lens unit of positive refractive power and a fourth lens unit of positive refractive power, zooming from a wide-angle end to a telephoto end being effected by moving the second lens unit toward the image side, and shifting of an image plane due to zooming being compensated for by moving the fourth lens unit, wherein the second lens unit consists of four separate single lenses including three negative lenses and one positive lens, and the third lens unit has at least one positive lens both surfaces of which are aspherical.

FIG. 1



**ENO / 1.65**

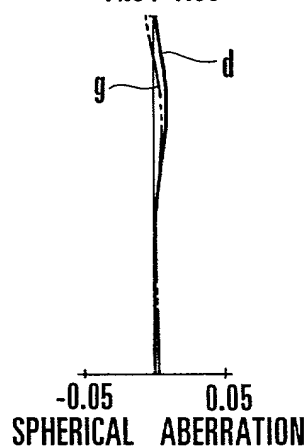


FIG. 2B

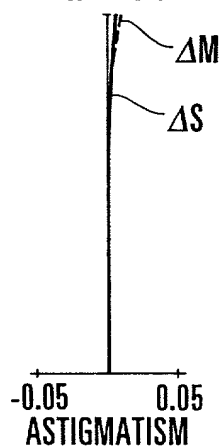
 $\omega = 28.8^\circ$ 

FIG. 2C

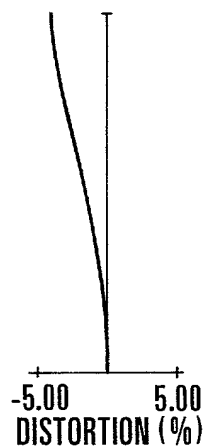
 $\omega = 28.8^\circ$ 

FIG. 2D

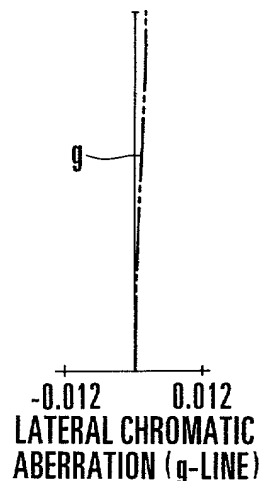
 $\omega = 28.8^\circ$ 

FIG. 3 A

FNO / 2.44

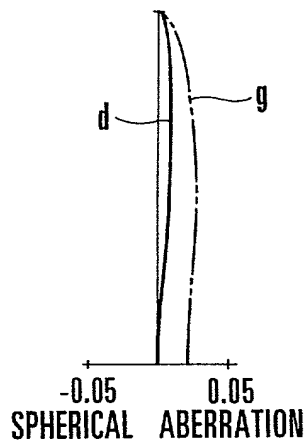


FIG. 3 B

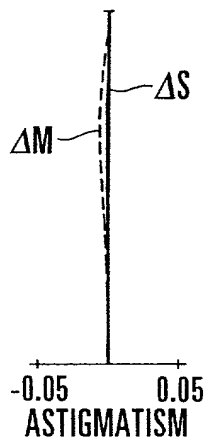
 $\omega = 3.5^\circ$ 

FIG. 3C

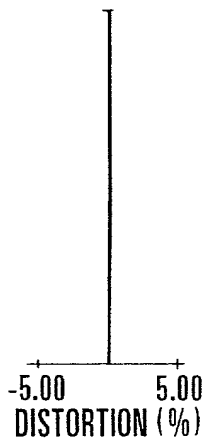
 $\omega = 3.5^\circ$ 

FIG. 3D

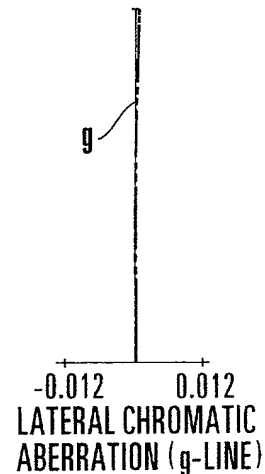
 $\omega = 3.5^\circ$ 

FIG. 4A

FNO / 3.91

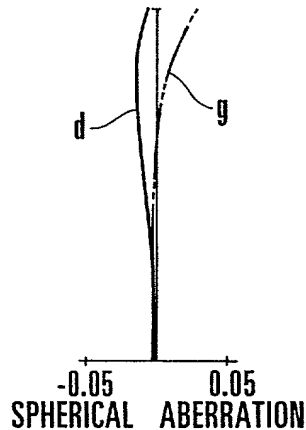


FIG. 4B

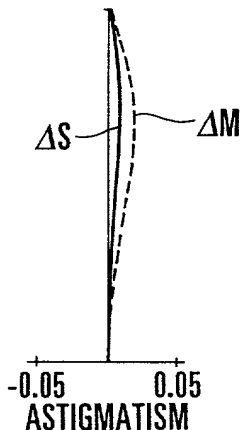
 $\omega = 1.4^\circ$ 

FIG. 4C

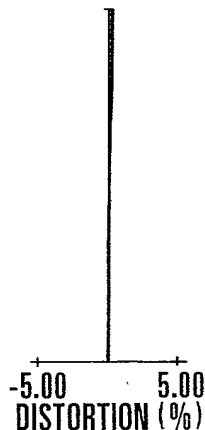
 $\omega = 1.4^\circ$ 

FIG. 4D

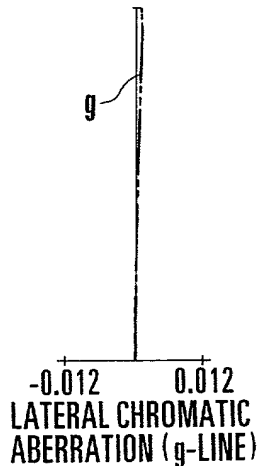
 $\omega = 1.4^\circ$ 



FIG. 6A

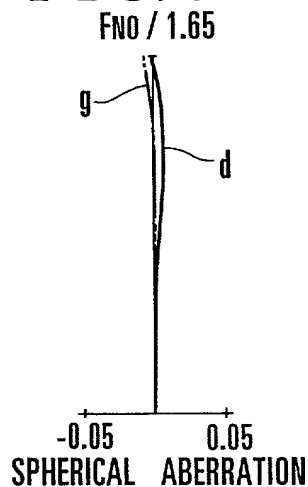


FIG. 6B

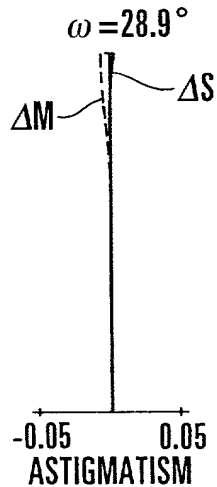


FIG. 6C

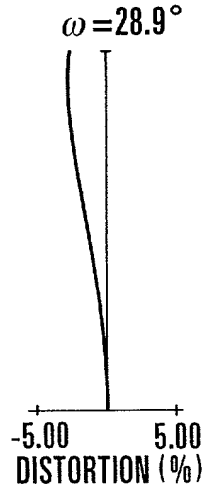


FIG. 6D

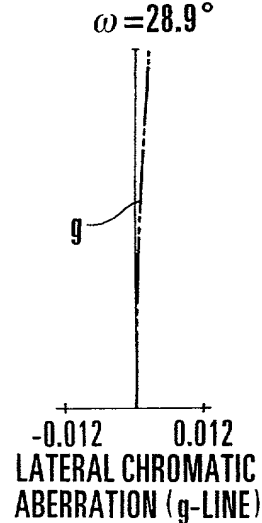


FIG. 7A

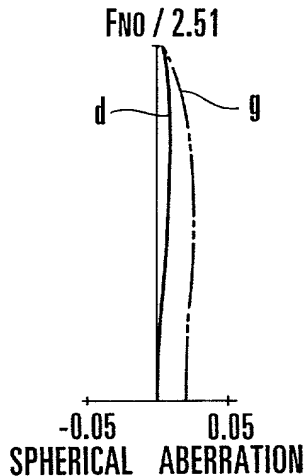


FIG. 7B

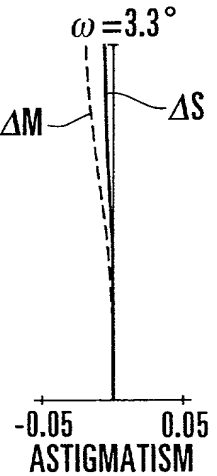


FIG. 7C

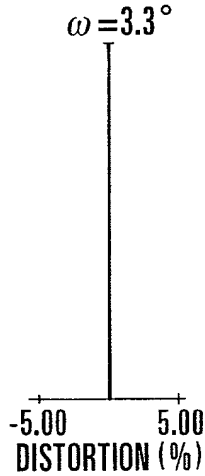


FIG. 7D

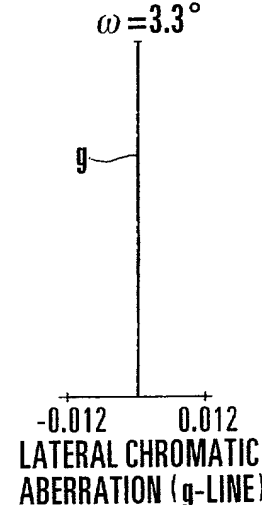


FIG. 8A

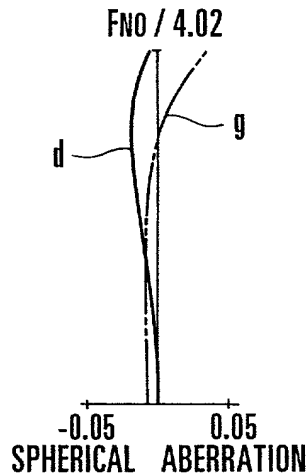


FIG. 8B

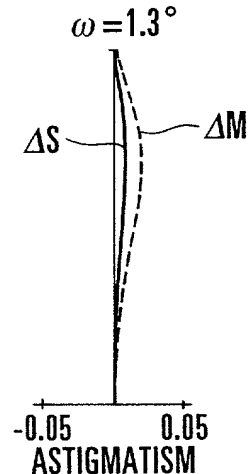


FIG. 8C

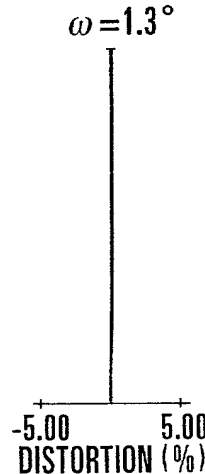


FIG. 8D

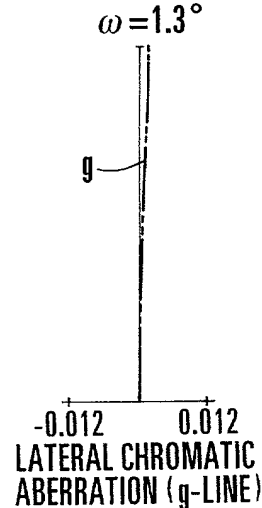
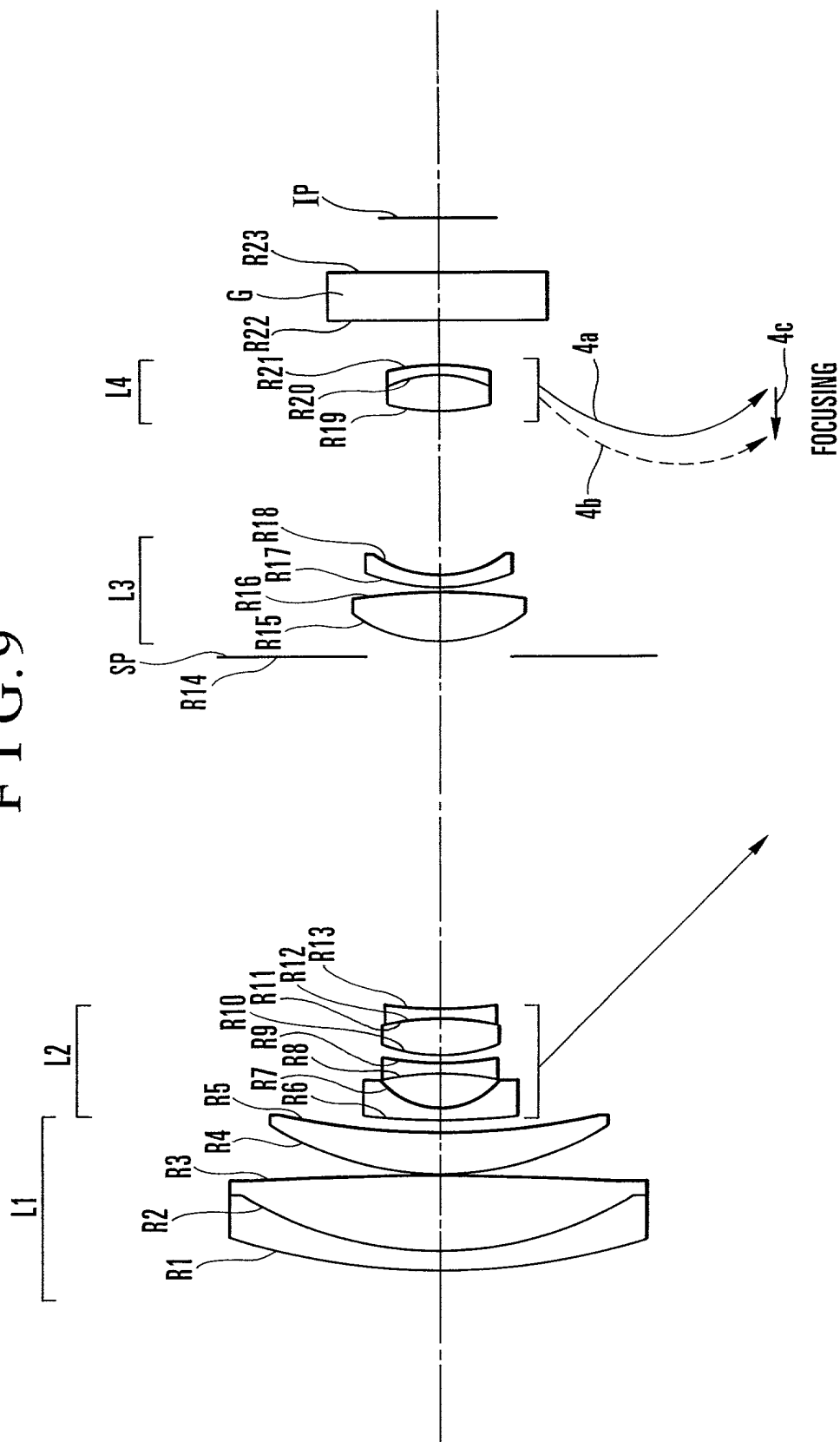


FIG. 9



0000045 100000

FIG.10A

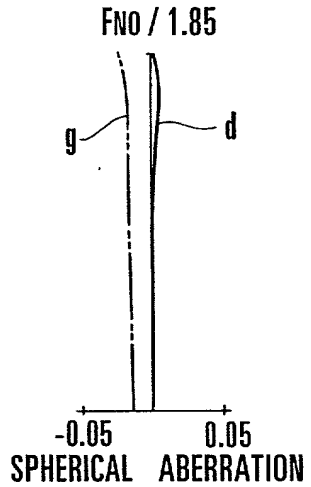


FIG.10B

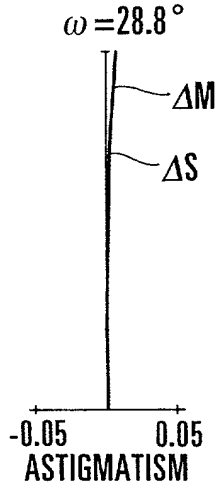


FIG.10C

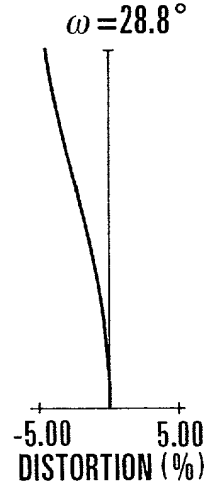


FIG.10D

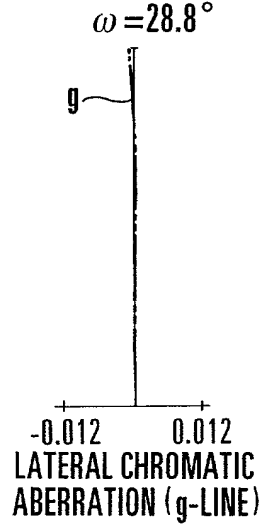


FIG.11A

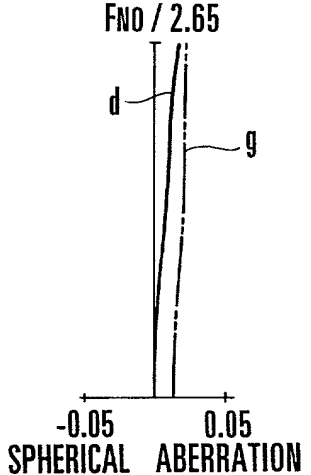


FIG.11B

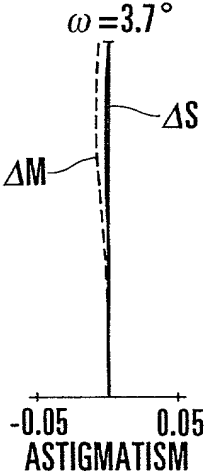


FIG.11C

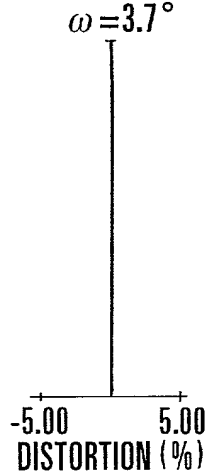


FIG.11D

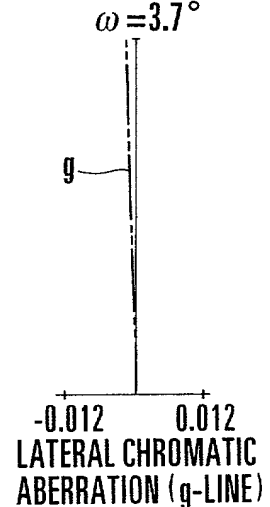


FIG.12A

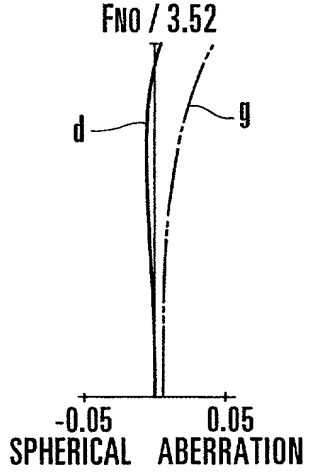


FIG.12B

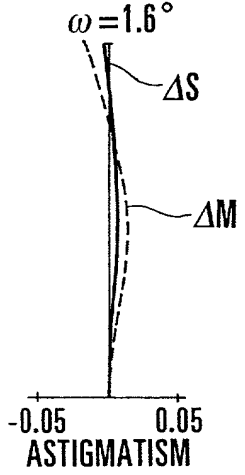


FIG.12C

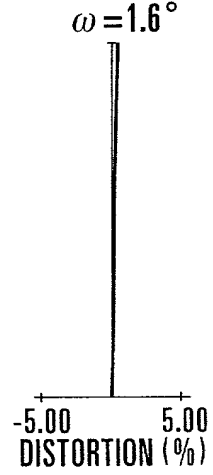


FIG.12D

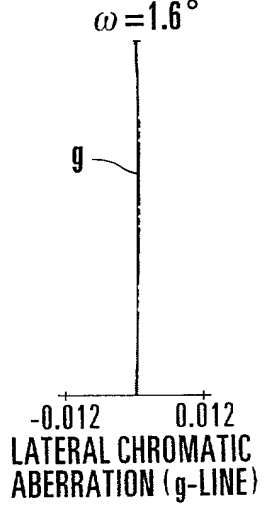
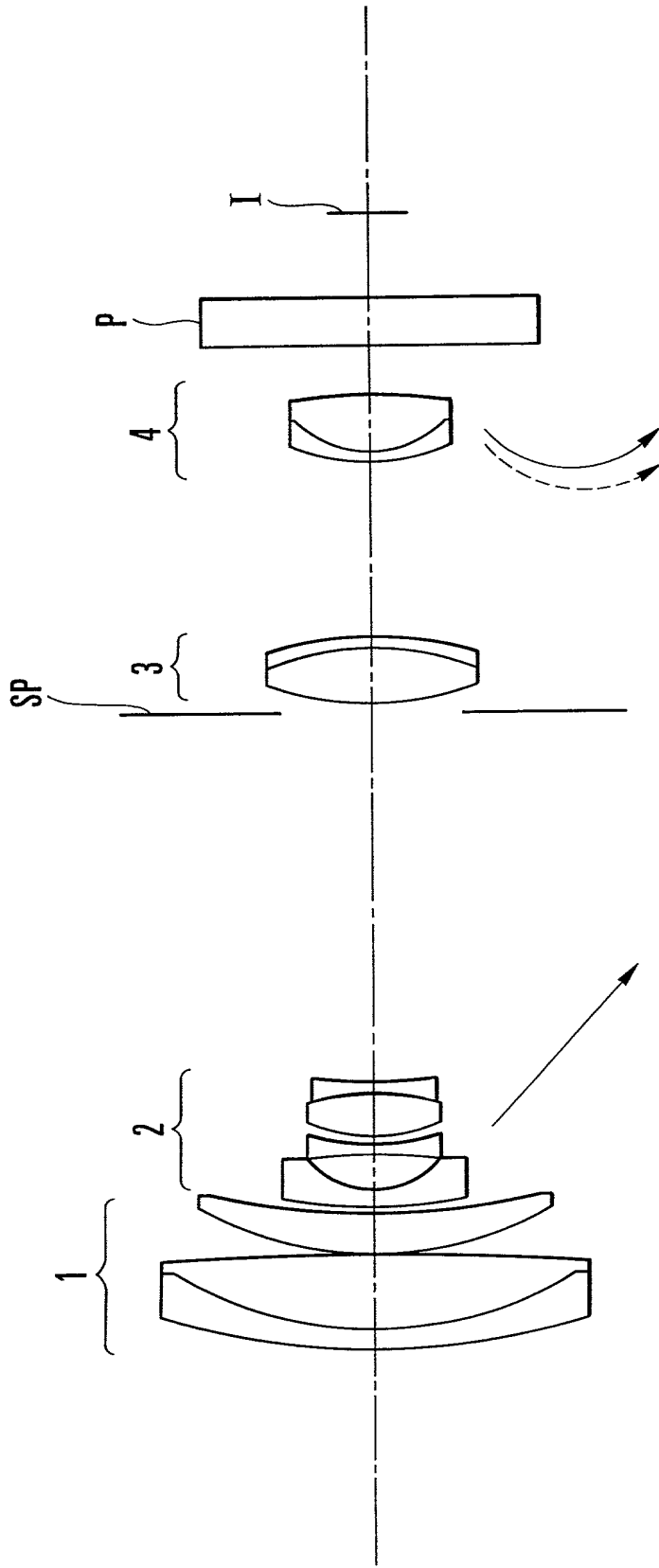




FIG. 13



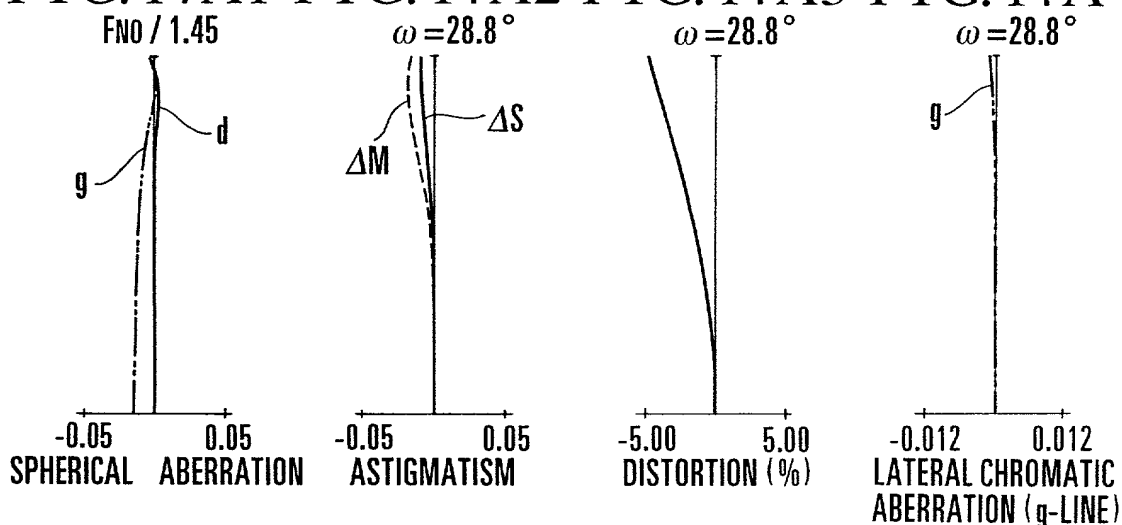


FIG. 14B1 FIG. 14B2 FIG. 14B3 FIG. 14B4

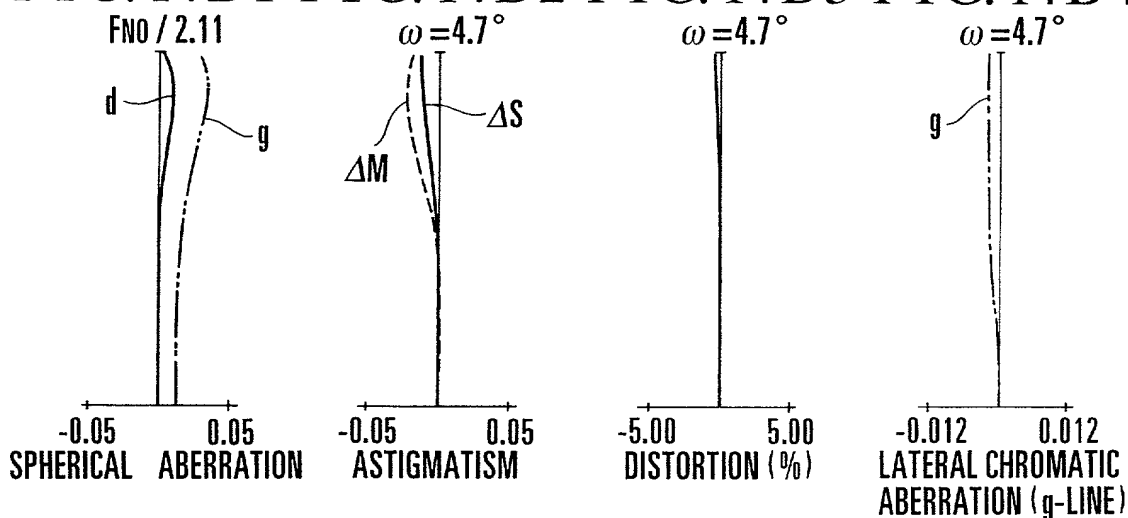


FIG. 14C1 FIG. 14C2 FIG. 14C3 FIG. 14C4

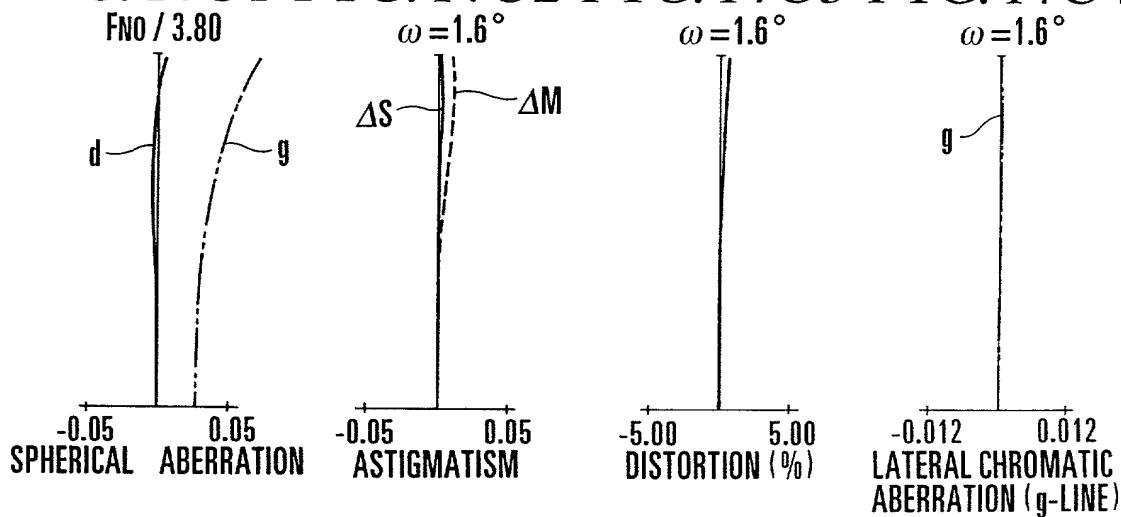


FIG. 15

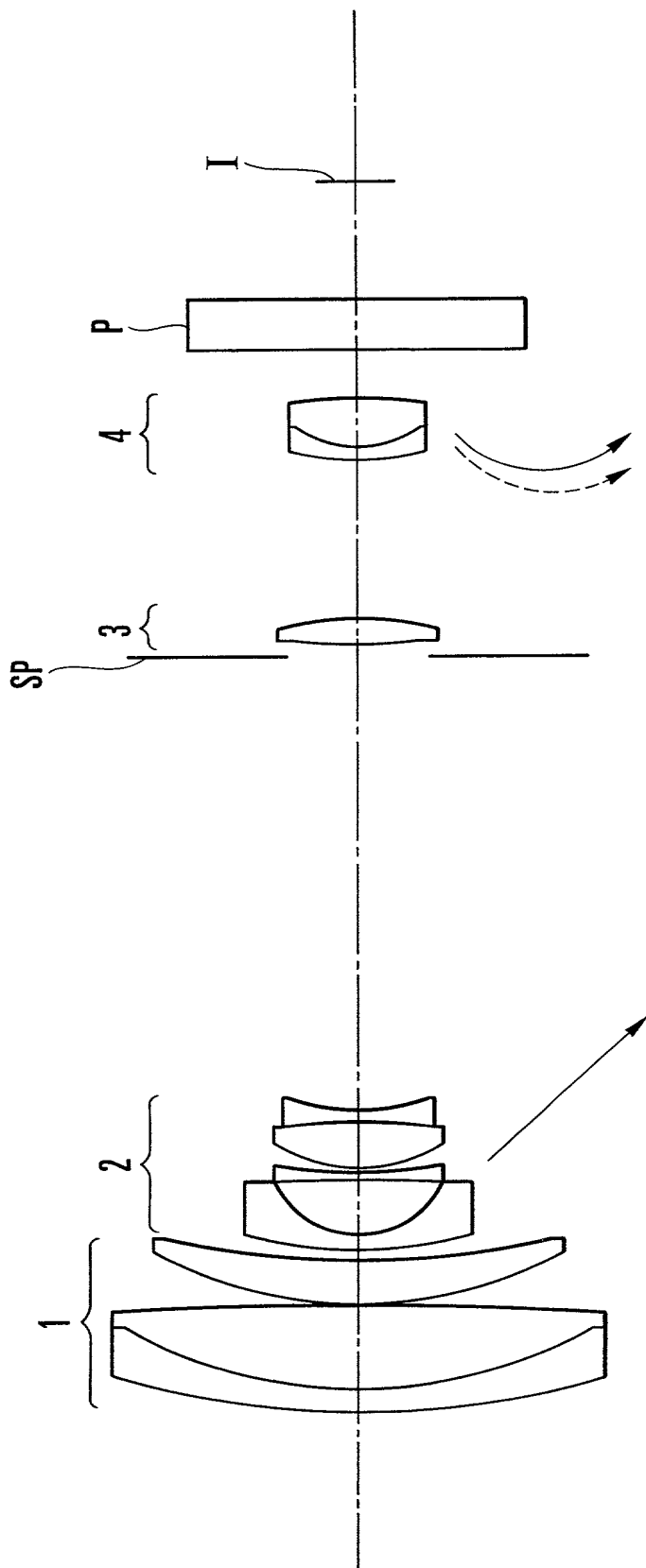


FIG. 16A1 FIG. 16A2 FIG. 16A3 FIG. 16A4

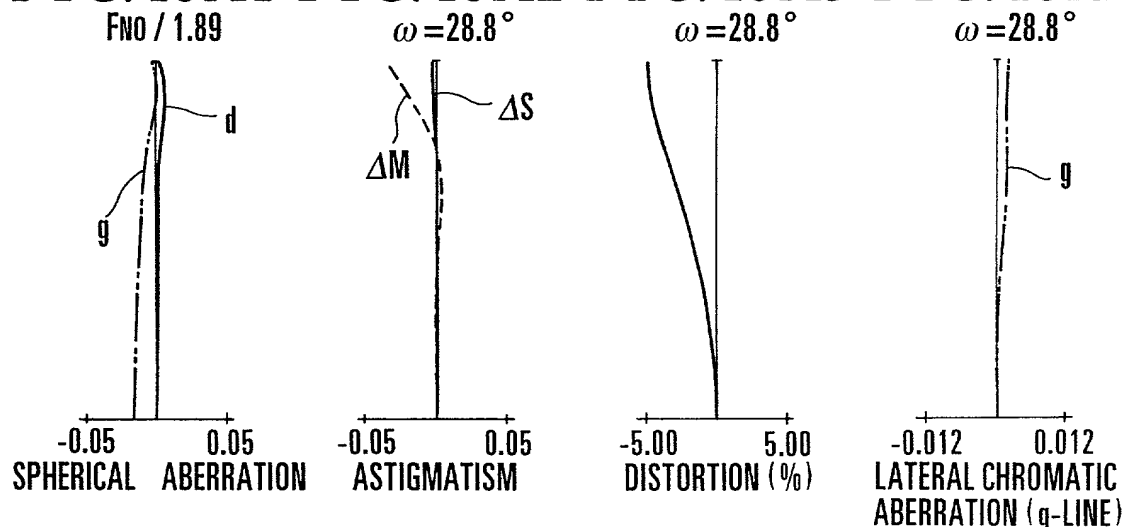


FIG. 16B1 FIG. 16B2 FIG. 16B3 FIG. 16B4

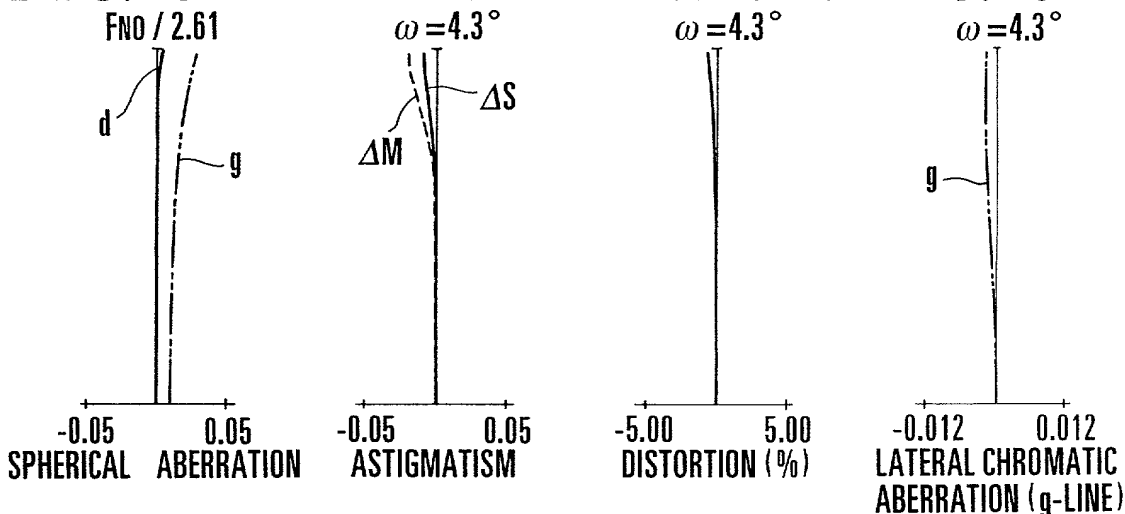


FIG. 16C1 FIG. 16C2 FIG. 16C3 FIG. 16C4

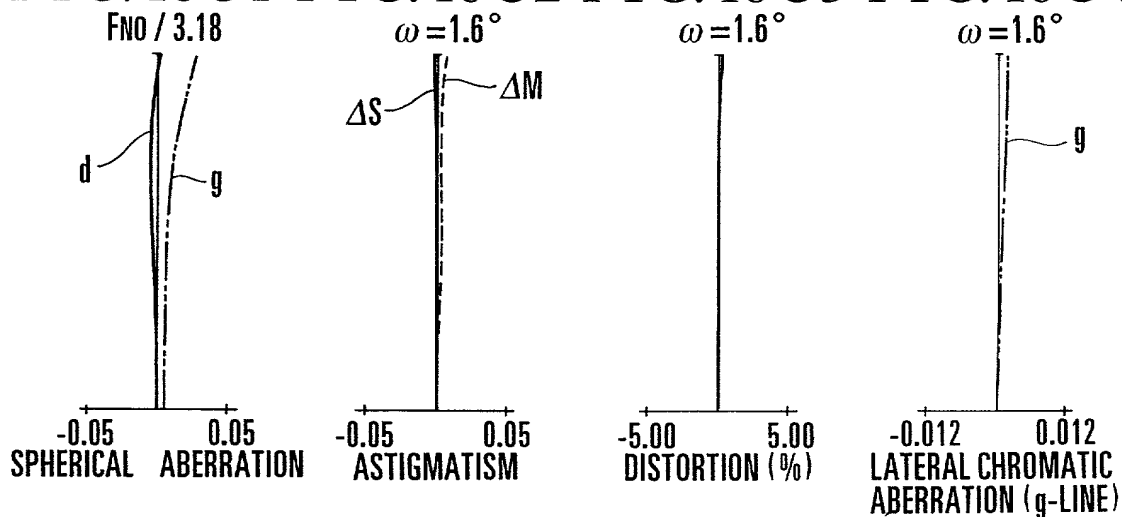


FIG. 17

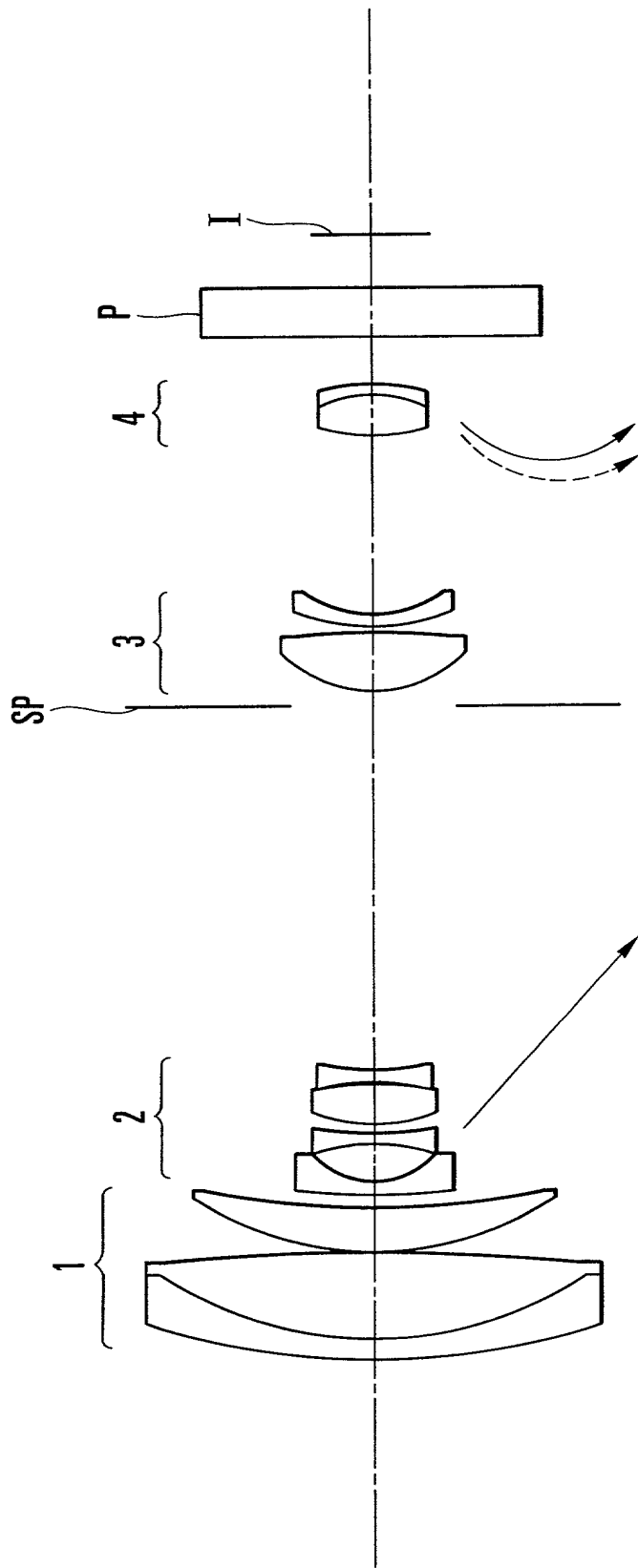


FIG. 18A1 FIG. 18A2 FIG. 18A3 FIG. 18A4

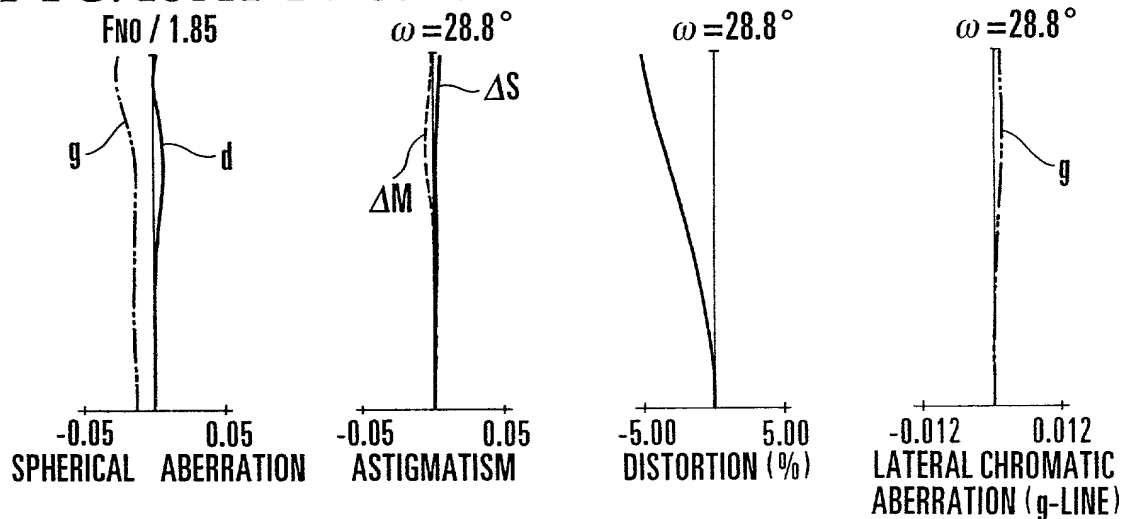


FIG. 18B1 FIG. 18B2 FIG. 18B3 FIG. 18B4

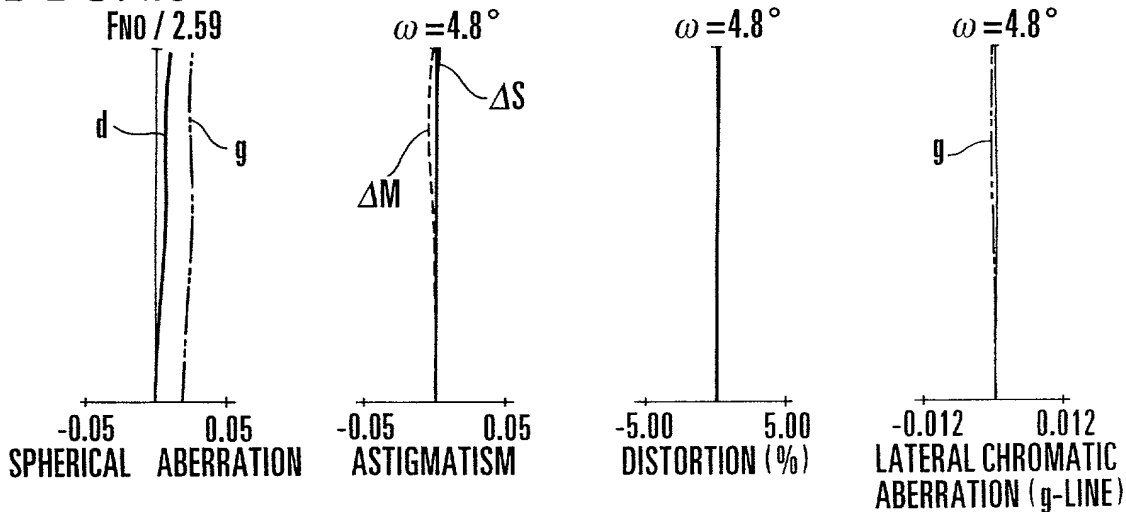
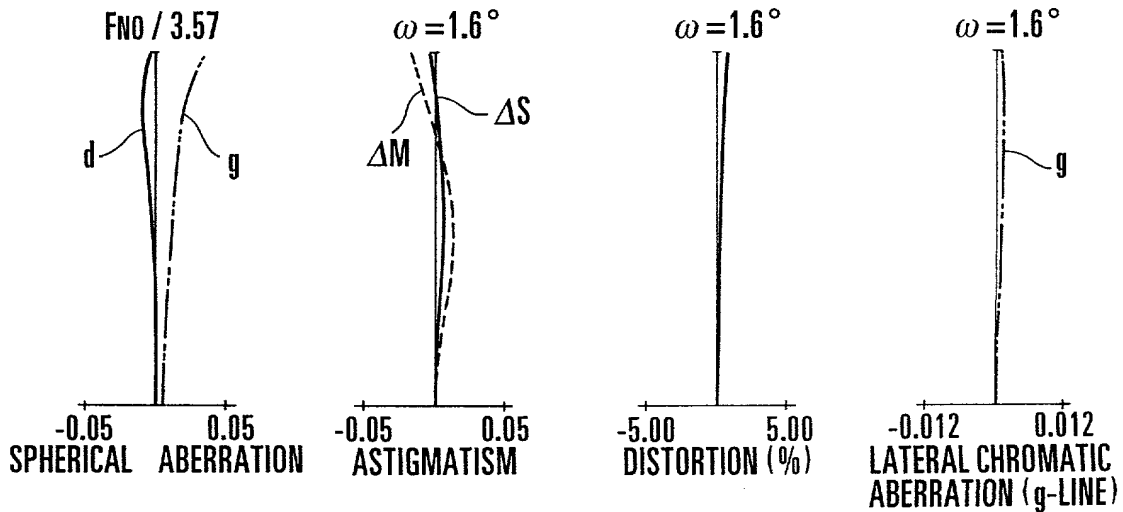
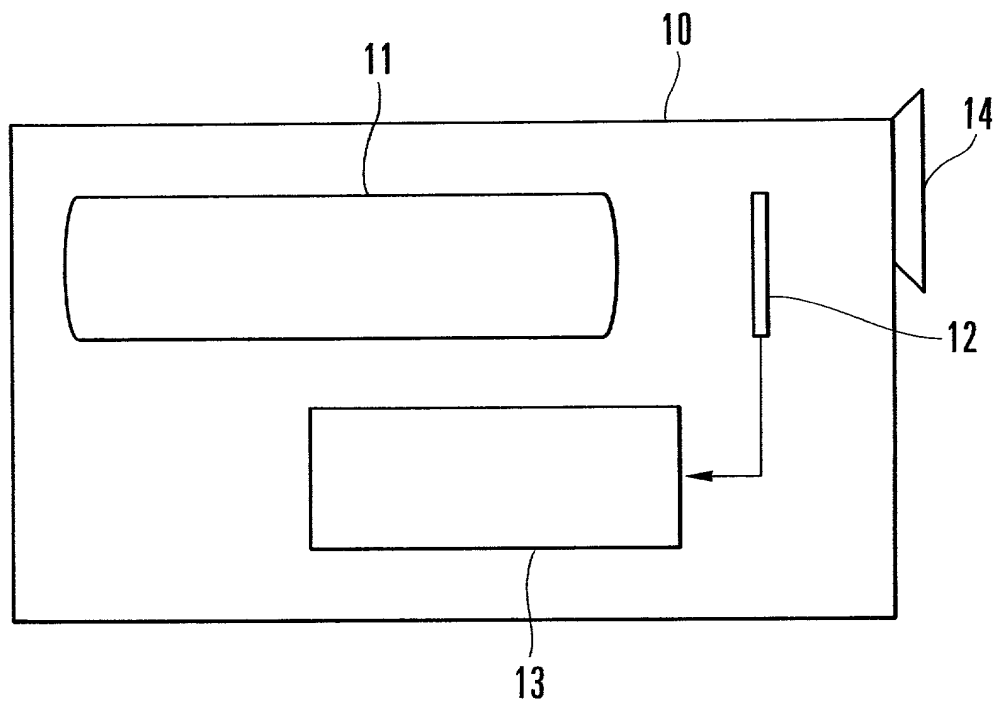


FIG. 18C1 FIG. 18C2 FIG. 18C3 FIG. 18C4



09590415 403000

FIG. 19



**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**  
(Page 1)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ZOOM LENS AND OPTICAL APPARATUS USING THE SAME

the specification of which ☐ is attached hereto ☒ was filed on March 5, 1999 as United States Application No. or PCT International Application No. 09/263,230 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

Country	Application No.	Filed (Day/Mo./Yr.)	(Yes/No) Priority Claimed
Japan	Hei 10-058034	10/3/1998	Yes
Japan	Hei 10-129524	23/4/1998	Yes

I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

**FITZPATRICK, CELLA, HARPER & SCINTO**  
Customer Number: 05514

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full Name of Second Joint Inventor, if any \_\_\_\_\_

Second Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Citizen/Subject of \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_